Lorentzian IKKT matrix model with the mass term as a nonperturbative formulation of superstring theory

Talk at ESI workshop 2023 Vienna, Austria, September 4-8, 2023

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Ref.) Asano, JN, Piensuk, Yamamori, work in progress Asano, Chou, JN, Piensuk, Tripathi, Yamamori, work in progress

0. Introduction

IKKT matrix model

Ishibashi-Kawai-Kitazawa-Tsuchiya, Nucl.Phys.B 498 (1997) 467, hep-th/9612115 [hep-th]

a nonperturbative formulation of superstring theory
 ``lattice gauge theory'' of everything (matter, force and space-time)

$$S_{\mathsf{b}} = -\frac{1}{4g^2} \operatorname{tr}([A_{\mu}, A_{\nu}][A^{\mu}, A^{\nu}])$$

$$S_{\mathsf{f}} = -\frac{1}{2g^2} \operatorname{tr}(\Psi_{\alpha}(\mathcal{C} \Gamma^{\mu})_{\alpha\beta}[A_{\mu}, \Psi_{\beta}])$$

 $N \times N$ Hermitian matrices SO(9,1) symmetry

 $\begin{array}{ll} A_{\mu} & (\mu = 0, \cdots, 9) & \text{Lorentz vector} \\ \Psi_{\alpha} & (\alpha = 1, \cdots, 16) & \text{Majorana-Weyl spinor} \end{array}$

Lorentzian metric $\eta = diag(-1, 1, \dots, 1)$ is used to raise and lower indices.

 This action can be obtained by taking the zero-volume limit of supersymmetric Yang-Mills theory in 10 dimensions.

the Euclidean IKKT model

"Wick rotation": $A_0 = -iA_{10}$ $Z_E = \int dA \, d\Psi \, e^{-(S_b + S_f)} = \int dA \, e^{-S_b} \, Pf \mathcal{M}(A)$ $S_b \propto tr (F_{\mu\nu})^2$ $F_{\mu\nu} = -i \left[A_{\mu}, A_{\nu}\right]$: Hermitian

Euclidean model is well defined without any cutoff. Krauth-Nicolai-Staudacher ('98), Austing-Wheater ('01)

Pf M(A) : complex valued
Fluctuation of the phase becomes milder for lower dimensional configs.

A possible mechanism for SSB of SO(10) J.N.-Venizzi ('00)

Emergence of 3d space suggested by complex Langevin simulation.

Anagnostopoulos, et al. JHEP 06 (2020) 069 , arXiv: 2002.07410 [hep-th]

Partition function of the Lorentzian IKKT model

Kim-JN-Tsuchiya Phys.Rev.Lett. 108 (2012) 011601, 1108.1540 [hep-th]

partition function

$$Z_{L} = \int dA \, d\Psi \, e^{i(S_{b} + S_{f})} = \int dA \, e^{iS_{b}} \operatorname{Pf}\mathcal{M}(A)$$

This seems to be natural from the connection to the worldsheet theory.
c.f.)
$$S = \int d^{2}\xi \sqrt{g} \left(\frac{1}{4} \{ X^{\mu}, X^{\nu} \}^{2} + \frac{1}{2} \bar{\Psi} \gamma^{\mu} \{ X^{\mu}, \Psi \} \right)$$

$$\xi_{0} \equiv -i\xi_{2}$$
The worldsheet coordinates should also be Wick-rotated.

We will show that this model, after an appropriate regularization, has surprising properties due to the Lorentz symmetry, which forms a <u>non-compact group</u>.

Plan of the talk

- 0. Introduction
- 1. How to make the Lorentzian model well-defined
- 2. Surprising properties due to noncompact symmetry
- 3. Confirmation in the N=2 bosonic model

Numerical simulation 1/D expansion

4. Summary and discussions

1.How to make the Lorentzian model well-defined

Regularizing the Lorentzian model

 Unlike the Euclidean model, the Lorentzian model is NOT well defined as it is.

$$Z_{\mathsf{L}} = \int dA \, d\Psi \, e^{i(S_{\mathsf{b}} + S_{\mathsf{f}})} = \int dA \, e^{iS_{\mathsf{b}}} \operatorname{Pf}\mathcal{M}(A)$$

pure phase factor -

polynomial in *A* real valued unlike Euclidean

Introducing convergence factor

$$S_{b} = \frac{1}{4} N \left\{ -2 \operatorname{tr}(F_{0i})^{2} + \operatorname{tr}(F_{ij})^{2} \right\}$$
$$S_{b}^{(\varepsilon)} = \frac{1}{4} N \left\{ -2e^{-i\varepsilon} \operatorname{tr}(F_{0i})^{2} + e^{i\varepsilon} \operatorname{tr}(F_{ij})^{2} \right\}$$

This corresponds to deforming the contour as

 $\varepsilon = \frac{\pi}{2} \iff$ Euclidean

 $\langle \mathcal{O}(A_0, A_i) \rangle_{\mathsf{I}} = \langle \mathcal{O}(\mathrm{e}^{-i\frac{3\pi}{8}}\tilde{A}_0, \mathrm{e}^{i\frac{\pi}{8}}\tilde{A}_i) \rangle_{\mathsf{E}}$

$$\begin{cases} A_0 = e^{-i\frac{3}{4}\varepsilon}\tilde{A}_0 \\ A_i = e^{i\frac{1}{4}\varepsilon}\tilde{A}_i \end{cases}$$

due to Cauchy's theorem

(Yuhma Asano '19, private communication)

Confirmation of the equivalence by CL simulation 10D bosonic model



The emergent space-time is complex and has Euclidean signature!

Can we regularize the Lorentzian IKKT model in a different manner?

Introducing a Lorentz invariant mass term

$$Z = \int dA \, e^{i(S_{\mathsf{b}} + S_{\mathsf{m}})} \mathsf{Pf}\mathcal{M}(A)$$

Anagnostopoulos-Azuma-Hatakeyama-Hirasawa-J.N.-Papadoudis-Tsuchiya, work in progress

$$S_{\rm m} = \frac{1}{2} N \gamma \left\{ e^{i\varepsilon} \operatorname{tr}(A_0)^2 - e^{-i\varepsilon} \operatorname{tr}(A_i)^2 \right\}$$

convergence factor

c.f.)
$$S_{b}^{(\varepsilon)} = \frac{1}{4} N \left\{ -2e^{-i\varepsilon} \operatorname{tr}(F_{0i})^{2} + e^{i\varepsilon} \operatorname{tr}(F_{ij})^{2} \right\}$$

contour deformation to a model with SO(D)

$\gamma < 0$	$\varepsilon ightarrow -0$	0	
$\gamma > 0$	$\varepsilon \rightarrow +0$	×	(leads to unbounded action)

By choosing $\gamma > 0$, we can define the Lorentzian IKKT model in such a way that it is inequivalent to the Euclidean IKKT model.

Indeed, we will see surprising properties of the model for $\gamma > 0$.

Classical solutions

$$Z = \int dA \, e^{i(A^4 + \gamma A^2)} \qquad A_{\mu} = \sqrt{|\gamma|} \tilde{A}_{\mu}$$
$$= \int dA \, e^{i\gamma^2(\tilde{A}^4 + \tilde{A}^2)} \qquad \gamma^2 \Leftrightarrow \frac{1}{\hbar}$$

Eq. of motion : $[A^{\nu}, [A_{\nu}, A_{\mu}]] - \gamma A_{\mu} = 0$

- $A_{\mu} = 0$ is always a solution. (trivial saddle)
- Typical Hermitian A_{μ} solutions show expanding behavior for $\gamma > 0$ Not true for $\gamma < 0$!
- Space-time dimensionality cannot be determined classically.

Non-trivial saddle point with expanding behavior may dominate the path integral due to its large entropy in the $N \to \infty, \gamma \to 0$ limits.

Classical solutions dominate at large $|\gamma|$.

Hatakeyama-Matsumoto-J.N.-Tsuchiya-Yosprakob, *PTEP* 2020 (2020) 4, 043B10



A historical remark

Mass term is introduced to obtain interesting classical solutions.

- H. C. Steinacker, Gravity as a quantum effect on quantum space-time, *Phys. Lett. B* **827** (2022) 136946, [arXiv:2110.03936].
- H. C. Steinacker, Cosmological space-times with resolved Big Bang in Yang-Mills matrix models, JHEP 02 (2018) 033, [arXiv:1709.10480].
- S.-W. Kim, J. Nishimura, and A. Tsuchiya, *Expanding universe as a classical solution in the Lorentzian matrix model for nonperturbative superstring theory*, *Phys. Rev.* D86 (2012) 027901, [arXiv:1110.4803].
- S.-W. Kim, J. Nishimura, and A. Tsuchiya, *Late time behaviors of the expanding universe in the IIB matrix model*, *JHEP* **10** (2012) 147, [arXiv:1208.0711].
- H. C. Steinacker, *Quantized open FRW cosmology from Yang-Mills matrix models*, *Phys. Lett.* **B782** (2018) 176–180, [arXiv:1710.11495].
- M. Sperling and H. C. Steinacker, Covariant cosmological quantum space-time, higher-spin and gravity in the IKKT matrix model, JHEP 07 (2019) 010, [arXiv:1901.03522].

2. Surprising properties due to noncompact symmetry

Rotational v.s. Lorentzian symmetries

Gaussian integrals with rotational or Lorentz symmetry

$$Z = \int dx \, e^{i\gamma(x_1^2 + \dots + x_{D-1}^2 + x_D^2)} \propto \gamma^{-D/2} \qquad \sum_{X_0 = -i \, x_D} x_0 = -i \, x_D$$

$$Z = \int dx \, e^{i\gamma(x_1^2 + \dots + x_{D-1}^2 - x_0^2)} \propto \gamma^{-D/2} \qquad \sum_{\text{Wick rotation}} x_0 = -i \, x_D$$

 One might think that the two symmetries are not very different. However, this is actually NOT the case !

$$Z = \int \frac{dk}{2\pi} \int d^{D}x \, e^{ik(x_{1}^{2} + \dots + x_{D-1}^{2} + x_{D}^{2} - 1)} = \frac{1}{2} \operatorname{vol} S_{D-1} < \infty$$

$$S_{D-1} = \{x \in \mathbb{R}^{D} \mid x_{1}^{2} + \dots + x_{D-1}^{2} + x_{D}^{2} = 1\}$$

$$Z = \int \frac{dk}{2\pi} \int d^{D}x \, e^{ik(x_{1}^{2} + \dots + x_{D-1}^{2} - x_{0}^{2} - 1)} = \frac{1}{2} \operatorname{vol} H_{D-1}^{(1)} = \infty$$

$$H_{D-1}^{(1)} = \{x \in \mathbb{R}^{D} \mid x_{1}^{2} + \dots + x_{D-1}^{2} - x_{0}^{2} = 1\}$$

$$\text{hyperboloid of one sheet}$$
Contour deformation is not possible !

Lorentz invariant IKKT model with a mass term

$$Z = \int dA \, e^{i(S_{\rm b} + S_{\rm m})} \mathsf{Pf}\mathcal{M}(A)$$
 convergence factor
$$S_{\rm m} = \frac{1}{2} N\gamma \left\{ e^{i\varepsilon} \mathsf{tr}(A_0)^2 - e^{-i\varepsilon} \mathsf{tr}(A_i)^2 \right\}$$

contour deformation to an SO(D) model

$\gamma < 0$	$\varepsilon ightarrow -0$	\bigcirc	
$\gamma > 0$	$\varepsilon ightarrow +0$	×	(leads to unbounded action)

According to the previous discussion, it is suggested that

$$\begin{array}{cccc} \gamma < 0 & \implies & Z < \infty \\ \gamma > 0 & \implies & Z < \infty & \text{or} & Z = \infty \end{array}$$

We will show that the partition function indeed diverges for $\gamma > 0$ in the simplest case of N = 2 bosonic model.

3. Confirmation in the N=2 bosonic model \sim numerical simulation

Classical solutions for N=2 bosonic model

classical EOM :
$$[A^{\nu}, [A_{\nu}, A_{\mu}]] - \gamma A_{\mu} = 0$$

For N=2, we can obtain all the solutions up to symmetries.



Nontrivial solutions exist only for $\gamma > 0$.

Numerical simulation around the Pauli solution

$$Z = \int dA \, e^{i(S_{\mathsf{b}} + S_{\mathsf{m}})}$$

$$S_{\rm m} = \frac{1}{2} N \gamma \left\{ e^{i\varepsilon} \operatorname{tr}(A_0)^2 - \operatorname{tr}(A_i)^2 \right\}$$

introduced to regularize the divergence due to Lorentz symmetry

• initial configuration :

$$A_{\mu} = \begin{cases} \sqrt{\frac{\gamma}{2}} \sigma_{\mu} & \mu = 1, 2, 3\\ 0 & \text{otherwise} \end{cases}$$
 (Pauli solution)

results obtained by the generalized Lefschetz thimble method : (sample configurations only on the thimble associated with the Pauli solution)

$$\left\langle \frac{1}{N} \operatorname{tr} (A_0)^2 \right\rangle \sim \frac{c}{\varepsilon} \qquad c \sim 14.7 \\ c = \frac{3}{2}D = 15 \quad (1/D \text{ expansion}) \\ \left\langle -\frac{1}{N} \operatorname{tr} (A_0)^2 + \frac{1}{N} \operatorname{tr} (A_i)^2 \right\rangle = (\operatorname{finite}) \sim \frac{3}{4}\gamma \quad (\operatorname{at \ large} \gamma)$$

The divergence due to Lorentz symmetry is clearly confirmed.



Pauli has faster diverging behavior than squashed Pauli.

The divergence of partition function

$$\left\langle \frac{1}{N} \operatorname{tr} (A_0)^2 \right\rangle \sim -\frac{\partial}{\partial \varepsilon} \log Z$$

$$\left\langle \frac{1}{N} \operatorname{tr} (A_0)^2 \right\rangle \sim \frac{c}{\varepsilon} \quad \blacklozenge \quad Z \sim \varepsilon^{-c}$$

Pauli $c \sim 14.7$ Partition function divergessquashed Pauli $c \sim 4.6$ faster for the Pauli thimble !

This implies that Pauli thimble dominates in the N = 2 bosonic model at $\gamma > 0$.

Note: This does not mean that the model is ill defined. E.g., the expectation value $\langle tr(A_{\mu}A^{\mu}) \rangle$ is finite.

3. Confirmation in the N=2 bosonic model \sim 1/D expansion

At large D with fixed $\tilde{\gamma},$

Large D saddles for N=2 bosonic model Large D SPE : $\tilde{h} + iK^{-1} = 0$

For N=2, we can obtain all the relevant saddle points up to symmetries.



Singularity on the real axis



This simply reflects the fact that a model like $Z = \int dA e^{iS}$ is not well defined because the integral is NOT absolutely convergent.

Also true for the SO(D) invariant case !



The case of Lorentz invariant model



Transition at finite ε

$$\begin{bmatrix} Z(v^{(+)}) \sim e^{-\frac{3}{8}iD\tilde{\gamma}^2} \left(\frac{\tilde{\gamma}}{2}\right)^{\frac{3}{2}D} \\ \frac{Z_{\text{new}} \sim e^{-\frac{3}{8}iD\tilde{\gamma}^2} (\tilde{\gamma}\varepsilon)^{-\frac{3}{2}D}}{\sqrt{2}} \end{bmatrix} \qquad |Z_{\text{new}}| > |Z(v^{(+)})| \\ \text{for } \tilde{\gamma} < \tilde{\gamma}_{\text{c}} \equiv \sqrt{\frac{2}{\varepsilon}} \quad (\text{large } D) \end{bmatrix}$$

Probing this transition by calculating observables

$$\left\langle \frac{1}{\sqrt{D}} \operatorname{tr} \left(A_{\mu} A^{\mu} \right) \right\rangle = \frac{2i}{ND} \frac{\partial}{\partial \tilde{\gamma}} \log Z$$
$$= \begin{cases} \frac{3}{4} \tilde{\gamma} + i \frac{3}{2\tilde{\gamma}} & \text{for the } v^{(+)} \text{ saddle} \\ \frac{3}{4} \tilde{\gamma} \bigcirc i \frac{3}{2\tilde{\gamma}} & \text{for the new saddle} \end{cases}$$

$$\operatorname{Im}\left\langle\frac{1}{\sqrt{D}}\operatorname{tr}\left(A_{\mu}A^{\mu}\right)\right\rangle = -\frac{3}{2\tilde{\gamma}} \to \frac{3}{2\tilde{\gamma}} \quad \text{ at } \tilde{\gamma} \sim \sqrt{\frac{2}{\varepsilon}}$$

Results for the Pauli thimble



The transition is clearly confirmed by the thimble calculations.



What is this transition ?





No divergence for space-like configs.



The interpretation of the transition



- $\varepsilon \rightarrow 0$ makes time-like configs dominate.
- $\tilde{\gamma} \rightarrow \infty$ makes space-like configs dominate.

transition at $\tilde{\gamma}^2 \varepsilon = 2$ (large D)

4. Summary

Summary

- Lorentzian IKKT matrix model is not well defined as it is <u>unlike the Euclidean version</u> studied earlier.
- A naïve regularization makes it equivalent to the Euclidean model.
- We have proposed a regularization using a Lorentz invariant mass term, which makes it inequivalent to the Euclidean model.
- In the N=2 bosonic model, the partition function for the Pauli thimble diverges due to the non-compact Lorentz transformations of time-like configurations.
- As a result, the Pauli thimble dominates for any $\gamma > 0$.
- The SO(D) symmetric model obtained by replacing $A_0 = iA_D$ does not have these properties, which are, hence, of genuine Lorentzian nature.

Future prospects

SUSY case

1/D expansion cannot be applied (SUSY cannot be respected), but numerical simulation is doable. N=2 case is on-going.

• larger N

The computational cost of the generalized Lefschetz thimble method grows with N as O(N⁶). But we may still do N=4,8,16,...

• Numerical solutions of the classical equation of motion

Expanding behavior is obtained generically for $\gamma > 0$. The number of expanding directions is arbitrary.

Hatakeyama-Matsumoto-J.N.-Tsuchiya-Yosprakob, PTEP 2020 (2020) 4, 043B10

 Numerical simulation based on the complex Langevin method The bosonic model simulation suggests (1+1)D expanding space-time.
 Pfaffian suppresses configs. with not more than 2 extended directions.

Kostas Anagnostopoulos' talk