# Geometric tensors via spectral functionals

# Ludwik Dąbrowski

SISSA, Trieste (I)

Given a Laplace operator we use the noncommutative residue to define certain functionals of vector fields which yield metric and Einstein tensors. Alternatively, given a Dirac operator we define dual metric and Einstein functionals of differential forms. and also Ricci and torsion functionals. We generalise these concepts in non-commutative geometry and show e.g. that for the conformally rescaled noncommutative 2-torus the Einstein and the torsion functionals vanish. Also the Hodge-de Rham, Einstein-Yang-Mills and quantum SU(2) group spectral triples are torsion free, while the quantum 2-sheeted space has torsion. [Adv.Math. 427, 1091286, 2023; Commun.Math.Phys. 130, 2024] and DOI 10.4171/JNCG/573 (2024) with A. Sitarz and P. Zalecki].

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Here the scalar laplacian 
$$\Delta$$
 for metric  $g=\{g_{jk}\}$  reads 
$$\Delta=-\frac{1}{\sqrt{\det(g)}}\partial_j\big(\sqrt{\det(g)}g^{jk}\partial_k\big). \tag{1}$$

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The coefficients  $a_\ell$  can be transmuted into some values or residues of the zeta function of  $\Delta$ , and in turn expressed using the noncommutative (Wodzicki) residue  $\mathcal{W}$ 

$$\mathcal{W}(P) := \frac{1}{vol(S^{n-1})} \int_{M} \left( \int_{|\xi|=1} tr \, \sigma_{-n}(P)(x,\xi) \, \mathcal{V}_{\xi} \right) \, d^{n}x. \quad (2)$$

→ residue

## **Geometry from residues:**

Then, on closed oriented M of even dimension n=2m

$$\mathcal{W}(\Delta^{-m}) = vol(M),$$

and in the  $\mathit{localized}$  form (as a functional of  $f \in C^\infty(M)$ )

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A. Connes divulged in 90s a startling result, confirmed by Kastler and by Kalau-Walze:

$$\mathcal{W}(\Delta^{-m+1}) = \frac{n-2}{12} \int_M R \ vol_g,$$
 which is  $\propto$  the Einstein-Hilbert action functional (of  $g$ )

for the Riemannian general relativity (in vacuum). Here R is the scalar curvature

$$R = R(g) = g^{jk}R_{jk} = g^{jk}R_{\ell j\ell k}.$$

A localised form of (3) is the *scalar curvature* functional on  $C^{\infty}(M)$   $\mathcal{R}(f) := \mathcal{W}(f\Delta^{-m+1}) = \frac{n-2}{12} \int_{\mathbb{R}^{n}} fR \, vol_{g}. \tag{4}$ 

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- $\hookrightarrow$  This is related to the asymptotic growth of eigenvalues of  $\Delta$ ; clear e.g. from the Connes "trace thm." that  $W = Tr^+$ .  $\leftrightarrow$
- $\hookrightarrow$  We have uncovered few new spectral 'localised' functionals, by placing some differential operators in place of f. Let's start e.g. with a pair of vector fields V and W on M, viewed as derivations of  $C^\infty(M)$ :

#### **New functionals**

#### Def/Thm: Metric functional

The functional

$$g^{\Delta}(V,W):=\mathcal{W}\big(VW\Delta^{-m-1}\big)$$

is a bilinear, symmetric map, whose density is proportional to the metric g evaluated on V,W

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#### Def/Thm: Einstein functional

The functional

$$G^{\Delta}(V, W) := \mathcal{W}(VW\Delta^{-m}),$$
 (5)

is a bilinear, symmetric map, whose density is proportional to the Einstein tensor  $G := Ric - \frac{1}{2}Rg$  evaluated on V, W

$$\mathcal{G}^{\Delta}(V,W) = \frac{1}{6} \int_{M} G(V,W) \, vol_{g}.$$

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#### "Proof"

#### Algebra of symbols of pseudodifferential operators:

$$\sigma(PQ)(x,\xi) = \sum_{\beta} \frac{(-i)^{|\beta|}}{|\beta|!} \frac{\partial}{\partial \xi^{\beta}} \sigma(P)(x,\xi) \frac{\partial}{\partial x^{\beta}} \sigma(Q)(x,\xi). \quad (6)$$

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#### Taylor expansion in normal coordinates x:

metric

$$g_{ab} = \delta_{ab} - \frac{1}{3} R_{acbd} x^c x^d + o(|x|^2), \tag{7}$$

volume element

$$\sqrt{\det(g)} = 1 - \frac{1}{6}R_{ab}x^a x^b + o(|x|^2),\tag{8}$$

and Levi-Civita symbol 
$$\Gamma^a_{bc}(x) = -\frac{1}{3}(R_{abcd} + R_{acbd})x^d + o(|x|^2). \tag{9}$$

where  $R_{acbd}$  and  $R_{ab}$  are the values at x = 0.

## "Proof" 2

Consequently,  $\sigma(\Delta) = \mathfrak{a}_2 + \mathfrak{a}_1$ , where

$$\mathfrak{a}_{2} = \left(\delta_{ab} + \frac{1}{3}R_{acbd}x^{c}x^{d}\right)\xi_{a}\xi_{b} + o(|x|^{2}),$$

$$\mathfrak{a}_{1} = \frac{2i}{3}R_{ab}x^{a}\xi_{b} + o(|x|^{2}).$$
(10)

Next we compute the first three leading symbols of  $\Delta^{-1}$ , and then of  $\Delta^{-k}$ , k>0, up to order resp.  $o(|x|^2), o(|x|), o(1)$ :

$$\sigma(\Delta^{-k}) = \mathfrak{c}_{2k} + \mathfrak{c}_{2k+1} + \mathfrak{c}_{2k+2} + \dots,$$

$$\mathfrak{c}_{2k} = ||\xi||^{-2k-2} \left( \delta_{ab} - \frac{k}{3} R_{acbd} x^c x^d \right) \xi_a \xi_b + o(|x|^2),$$

$$\mathfrak{c}_{2k+1} = \frac{-2ki}{3||\xi||^{2k+2}} R_{ab} x^b \xi_a + o(|x|),$$

$$\mathfrak{c}_{2k+2} = \frac{k(k+1)}{3||\xi||^{2k+4}} R_{ab} \xi_a \xi_b + o(1).$$
(11)

Now the composition with  $\sigma(VW)$  shows the statements.  $\square$ 

# Laplace-type, Spin Laplacian, squared Dirac

More generally, we've treated Laplace-type operators

$$\Delta_{T,E} = -g^{ab}(\nabla_a \nabla_b - \Gamma_{ab}^c \nabla_c) + E$$

on a vector bundle  $\Xi$  with connection  $\nabla$  and  $E \in End \Xi$ .

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A particular interesting case is a  $spin_c$  manifold M with  $\Xi$  a spinor bundle  $\Sigma$  of rank  $2^m$  and the spin Laplacian

$$\Delta^{(s)} := \nabla^{(s)*} \nabla^{(s)} = -\nabla^{(s)}_{e_i} \nabla^{(s)}_{e_i} + \nabla^{(s)}_{\nabla_{e_i} e_i}, \tag{12}$$

where  $\nabla^{(s)}$  is the spin connection and  $e_j$  is ON frame:

#### Proposition

$$g^{\Delta^{(s)}}(V,W) := \mathcal{W}\left(\nabla_V^{(s)} \nabla_W^{(s)} (\Delta^{(s)})^{-m-1}\right) = 2^m g^{\Delta}(V,W),$$

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or squared Dirac (coupled do U(1)-gauge 1-form A):

$$\begin{split} & \text{Proposition} \\ & \text{g}^{D_A^2}(V, W) := \mathcal{W}(\nabla_V^{(s)} \nabla_W^{(s)} |D_A|^{-n-2}) = 2^m \text{g}^{\Delta}(V, W), \\ & \text{G}^{D_A^2}(V, W) := \mathcal{W}(\nabla_V^{(s)} \nabla_W^{(s)} |D_A|^{-n}) \\ & = 2^m \Big( \text{G}^{\Delta}(V, W) + 2^{-3} \int_M \!\!\! R \, g(V, W) vol_g \Big). \end{split}$$

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has a faithful state  $\tau$  invariant under derivations  $\delta_j$ ,  $\delta_j U_k = \delta_{jk} U_k$ , which are interpreted as noncommutative vector fields.

One regards  $\Delta = \sum_j \delta_j^2$  on  $H = L^2(\mathbb{T}^2_\theta, \tau)$  as 'flat' Laplace operator,  $D = \sum_j \gamma^j \delta_j$  on  $H = L^2(\mathbb{T}^2_\theta, \tau) \otimes \mathbb{C}^{2^m}$  as 'flat' Dirac operator and the A-bimodule  $\Omega_D(A)$  generated by [D,A], as 1-forms. They generalise to the (non-flat) conformally rescaled geometry:

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For simplicity consider the *strictly irrational*  $\mathbb{T}^n_{\theta}$  (i.e.,  $\mathcal{Z}(A) = \mathbb{C}$ ) with  $\tau$  extended to  $\hat{A} := A \otimes A^o$  as  $\tau(a \otimes b^o) = \tau(a)\tau(b^o)$ , where  $A^o$  is a copy of A in the commutant A' of A in B(H). Such  $\tau$  is still invariant under the extended derivations. We use it to define the tracial state  $\mathcal{W}$  on  $\hat{A}$ -valued symbols  $\sigma(\xi)$  (where  $\delta_a \mapsto \xi_a$  much the same as for M).

#### Rescaled NC 2-torus: vector fields

Given  $0 < h \in C^{\infty}(\mathbb{T}^2_{\theta})$ , by a conformally rescaled  $\Delta$  on  $\mathbb{T}^2_{\theta}$  we mean the selfadjoint operator on  $H = L^2(\mathbb{T}^2_{\theta}, \tau)$ :

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$$\Delta_h = h^{-1} \Delta h^{-1}.$$

Accordingly, as vector fields we take

$$V_h = \sum_{a=1,2} V^a h \delta_a h^{-1}, \quad V^a \in \mathbb{C}.$$

#### **Proposition**

$$g^{\Delta_h}(V_h, W_h) = \mathcal{W}(V_h W_h \Delta_h^{-2}) = \pi \tau(h^4) V^a W^a,$$

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.

We have also computed  $\mathbb{T}^4_{\theta}$ .

Can do also  $\theta$ -deformed spaces, or NC spaces with derivations.

Alternatively ...

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# **Spectral functionals on 1-forms**

Now use D on spinors in a two-fold way to get (in terms of  $\mathcal{W}$ ) certain "dual functionals" which are bilinear on <u>1-forms</u> (co-vectors) and yield <u>contravariant</u> tensors (with "raised indices").

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For that need to represent 1-forms v as differential operators. On a spin $_c$ c manifold M use the Clifford representation of v as 0-order differential operators  $\hat{\nu} \in \operatorname{End}(\Sigma)$ .

As known they form a  $C^\infty\!(M)$ -bimodule  $\Omega^1_D \simeq \Omega^1(M)$  generated by commutators of D with functions.

Thus the spinorial Dirac operator is <u>self-sufficient</u> for our purposes (and NCG-ready when assembled to a spectral triple of A. Connes), so comes now in its grandeur

## Metric and Einstein functionals on 1-forms

#### $\mathsf{Thm}$

The spectral functionals of one-forms on M

$$g_{D}(v, w) := \mathcal{W}(\hat{v}\hat{w}D^{-n}),$$

$$G_{D}(v, w) := \mathcal{W}(\hat{v}(\underline{D}\hat{w} + \hat{w}\underline{D})D^{-n+1})$$

$$= \mathcal{W}((D\hat{v} + \hat{v}D)\hat{w}D^{-n+1}),$$
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read

$$g_D(v, w) = 2^m \int_M g(v, w) \ vol_g,$$

$$G_D(v, w) = \frac{2^m}{6} \int_M G(v, w) \ vol_g,$$
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where  $G = Ric - \frac{1}{2}Rg$  is the contravariant Einstein tensor.

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Actually,

$$\operatorname{Ric}_{D}(v,w) := \mathcal{W}\left(\hat{v}(D\hat{w} + \frac{n-4}{n-2}\hat{w}D)D^{-n+1}\right) = \frac{2^{m}}{6} \int_{M} \operatorname{Ric}(v,w) \ vol_{g}.$$

(15)

## Rescaled noncommutative 2-torus: 1-forms

The above functionals extend to NC spaces: As the conformal rescaling of D on  $\mathbb{T}^n_\theta$  we take on H

$$D_k = kDk,$$

following Connes-Moscovici, however with  $0 < k \in A^o \subset A'$ , which assures that  $(A,D_k,H)$  is a spectral triple and  $\exists \ \Omega^1_{D_k}(A)$ . lackIn effect,  $\Omega^1_{D_k}(A)$  is freely generated by  $k^2\gamma^j$ .

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For n=2,  $\gamma^j=\sigma^j$ , and for  $\mathbb{T}^2_{\theta}$  we have

#### **Proposition**

For 
$$v=k^2v^j\sigma^j$$
 and  $w=k^2w^j\sigma^j$ ,  $v^j,w^j\in A$ , 
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# **Spectral Torsion**

In principle *connections* not needed for abstract  $\Delta$  or D.

Thanks to our  $g_{\it D}$  we can now 'control' the *metricity* condition.

Instead what about the *zero-torsion* condition?

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Instead what about the zero-torsion condition?

Not clear if any (enigmatic & complicated) minimization procedure could be employed for that.

But the contribution of torsion can contaminate our g & G (!). Fortunately, for a <u>n-summable regular</u>  $(\mathcal{A}, D, \mathcal{H})$ , using  $\mathcal{W}$  coming from the  $\Psi DO$  calculus and tracial state by Connes-Moscovici'95, we found:

#### Def/Thm: Torsion functional

Torsion functional is a trilinear functional of  $u,v,w\in\Omega^1_D(\mathcal{A})$ ,

$$\mathcal{T}_D(u, v, w) := \mathcal{W}(uvwD|D|^{-n}).$$

We say that D is torsion-free if  $\mathcal{T}_D \equiv 0$ . For the Dirac operator  $D_T$  with torsion T on a closed spin manifold of dimension n

$$\mathcal{T}_{D_T}(u, v, w) = -2^{\left[\frac{n}{2}\right]} i \int_{M} u_a v_b w_c T_{abc} vol_g. \tag{16}$$

## **Examples**

$$|\mathcal{T}=0|$$
 for:

- Hodge-de Rham:  $\left(C^{\infty}(M), L^2(\Omega_M^{\bullet}), d+d^*\right)$ .
- Einstein-Yang-Mills:  $\left(C^{\infty}(M)\otimes M_N(\mathbb{C}), L^2(\Sigma)\otimes M_N(\mathbb{C})\right), \widetilde{D}$ , where  $\widetilde{D}=D\otimes \mathrm{id}_N+A+JAJ^{-1}$  with  $A=A^*\in\Omega^1_{\widetilde{D}}$  and  $J=C\otimes *$ , with C being the charge conjugation on spinors in  $\Sigma$ .
- conformally rescaled noncommutative tori.
- ullet quantum SU(2):  $\left(\mathcal{A}(SU_q(2)),\mathcal{H},D\right)$ , where  $\mathcal{H}$  and D are isomorphic to the classical case q=1.

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- conformally rescaled noncommutative tori.
- quantum SU(2):  $(A(SU_q(2)), \mathcal{H}, D)$ , where  $\mathcal{H}$  and D are isomorphic to the classical case q=1.

$$\mathcal{T} \neq 0$$
 for:

• almost commutative  $M \times \mathbb{Z}_2$ :  $(C^{\infty}(M) \otimes \mathbb{C}^2, L^2(\Sigma) \otimes \mathbb{C}^2, \mathcal{D})$ , where  $\mathcal{D}=\left( \begin{array}{cc} D & \chi\phi \\ \chi\phi^* & D \end{array} \right),$  with D on  $\Sigma$  graded by  $\chi$ , and  $\phi\in\mathbb{C}.$ 

where 
$$\mathcal{D} = \begin{pmatrix} \mathcal{L} & \chi \gamma \\ \chi \phi^* & D \end{pmatrix}$$
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Now, 
$$\Omega^1_{\mathcal{D}} \ni \omega = \begin{pmatrix} w^+ & \phi \chi f^+ \\ \phi^* \chi f^- & w^- \end{pmatrix}$$
 for  $w^\pm \in \Omega^1(M)$ ,  $f^\pm \in C^\infty(M)$ . Then,  $\mathcal{W} (\omega_1^o \omega_2^o \omega_3^o \mathcal{D} \mathcal{D}^{-2m}) = \mathcal{W} (|\phi|^4 (f_1^+ f_2^- f_3^+ + f_1^- f_2^+ f_3^-) \mathcal{D}^{-2m})$ 

 $= |\phi|^4 \int_M (f_1^+ f_2^- f_3^+ + f_1^- f_2^+ f_3^-) vol_q.$ 

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- Einstein manifolds ( $\leftrightarrow$ spectral triples) for which  $\mathrm{G}_D \propto \mathrm{g}_D$

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- metric spaces, orbifolds and manifolds with singularities
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- Einstein manifolds ( $\leftrightarrow$ spectral triples) for which  $G_D \propto g_D$

Conjecture: For a 2-dimensional regular spectral triple  $G_D = 0$ .

- The spectral formulation of geometric objects g, G, Ric & T should be beneficial for global study on the analytic/operator level of manifolds as well as generalized geometries, like NCG.
- Recently Yong Wang et. al. extended our functionals to manifolds with boundaries.
- Further 'quantum' directions to study:
- metric spaces, orbifolds and manifolds with singularities
- flat manifolds
- Einstein manifolds ( $\leftrightarrow$ spectral triples) for which  $G_D \propto g_D$

Conjecture: For a 2-dimensional regular spectral triple  $G_D = 0$ .

- relation of  $\mathcal{T}_D$  to other settings (algebraic, differential) for T and quantum analogues of Levi-Civita connection in the literature
- relation to W. Ugalde differential forms & conformal gometry

## **THANK YOU!**