

Gopakumar-Vafa invariants

- what they mean to a physicist,
and how to compute them -

Part I: GN-invariants are BPS-invariants

- important physics data:

given QFT (+ gravity), what is
the spectrum of masses & charges
of particles?

- Wigner's class: particle $\simeq$ unitary irrep. of

Poincaré group $\times$ compact Lie group

[cf. Coleman-Mandula Theorem '67]

algebra:
$$\begin{aligned} [P_\mu, P_\nu] &= 0 \\ [P_\mu, M_{\nu\sigma}] &= i(\gamma_{\mu\nu} P_\sigma - \gamma_{\mu\sigma} P_\nu) \\ [M_{\mu\nu}, M_{\rho\sigma}] &= i(M_{\mu\rho} \gamma_{\nu\sigma} + \dots) \end{aligned}$$

- Labeled by $(mass, spin, R)$

- mass: $P_\mu P^\mu |4\rangle = -m^2 |4\rangle$

w.l.o.g. $\leadsto P_\mu |4\rangle = \underbrace{(-m, \vec{0}_{d-1})}_{\text{invariant under } SO(d-1)} |4\rangle$
 "massive little group"

- spin: $SO(d-1) \equiv$ "massive little group"
 (or really $Spin(d-1)$)

$d=4$: double cover $Spin(3) = SU(2)$

$\leadsto spin = j \in \{0, \frac{1}{2}, 1, \frac{3}{2}, 2, \dots\}$

$dim = 2j + 1$

$d=5$: $Spin(4) = SU(2)_L \times SU(2)_R$

$\Rightarrow spin = (j_L, j_R)$

$j_{L,R} \in \{0, \frac{1}{2}, \dots\}$

scalar: $(0, 0)$

spinor: $(\frac{1}{2}, 0), (0, \frac{1}{2})$

vector: $(\frac{1}{2}, \frac{1}{2})$ etc.

- masses are usually impossible to compute exactly in interacting QFTs due to self-energy:

$$-i\Sigma(p^2) \equiv \dots \text{[PI]} \dots = \dots \text{[loop diagrams]} \dots + \dots$$

$$\text{[diagram with shaded circle]} = \frac{-i}{p^2 + m^2 + \Sigma(p^2)}$$

- in SUSY theory, situation is sometimes better:
SUSY-multiplets are irreps of

$$(\text{SuperPoincaré}) \times (\text{compact Lie group})$$

↑
Poincaré-algebra

in 4d:

$$\begin{aligned} &+ \{Q_\alpha^I, \bar{Q}_{\dot{\beta}}^{\bar{J}}\} = -2\sigma_{\alpha\dot{\beta}}^\mu P_\mu \delta^{I\bar{J}} \\ &[M^{\mu\nu}, Q_\alpha^I] = i(\sigma^{\mu\nu})_\alpha^\beta Q_\beta^I \\ &\{Q_\alpha^I, Q_\beta^J\} = 2\epsilon_{\alpha\beta} Z^{IJ} \end{aligned}$$

central extension
for $N \geq 2$ in 4d

for $N=2$:

$$Z^{IJ} = \begin{pmatrix} 0 & z \\ -z & 0 \end{pmatrix},$$

$$\text{BPS-bound } m \geq |z|$$

key fact: multiplets that saturate it,

$$m \approx |Z|,$$

are annihilated by half of the Q_α^I

$\leadsto$ # of states is halved.

in 5d $N=1$: M-theory on CY_3 !

- $Spin(4) = SU(2)_L \times SU(2)_R$ (massive little group)
- 8 real supercharges $Q \cong$ Dirac spinor
(better: symplectic-Majorana)
 $= (\frac{1}{2}, 0) \oplus (0, \frac{1}{2})$

under $Spin(4) \subseteq Spin(1,4)$

$\Rightarrow$ two independent $SU(2)$ -spinors.

- generic "long" multiplet:

$$[2(0,0) + (\frac{1}{2}, 0)] \oplus [2(0,0) + (0, \frac{1}{2})] \oplus (j_L, j_R)$$

ex.: non-BPS vector multiplet, $j_L = j_R = 0$,

$$\underbrace{4 \cdot (0,0)}_{4 \text{ scalars}} \oplus \underbrace{2 \cdot (\frac{1}{2}, 0) \oplus 2 \cdot (0, \frac{1}{2})}_{2 \text{ Dirac spinors}} \oplus \underbrace{(\frac{1}{2}, \frac{1}{2})}_{1 \text{ vector}}$$

- BPS-multiplet (annihilated by Q_a^R):

$$[2(0,0) + (\frac{1}{2}, 0)] \otimes (j_L, j_R)$$

e.g.: $j_L = j_R = 0$: $2(0,0) \oplus (\frac{1}{2}, 0)$
 "BPS half-hypermultiplet"

$j_L = 0, j_R = \frac{1}{2}$: $2(0, \frac{1}{2}) \oplus (\frac{1}{2}, \frac{1}{2})$
 "BPS vectormultiplet"

actually: CRT theorem requires symm. under $j_L \leftrightarrow j_R$,

$\sim$ hypermultiplet: $\underbrace{4(0,0)}_{4 \text{ scalars}} \oplus \underbrace{(\frac{1}{2}, 0) \oplus (0, \frac{1}{2})}_{\text{Dirac spinor}}$

$\sim$ vectormultiplet: $\underbrace{2(\frac{1}{2}, 0) \oplus 2(0, \frac{1}{2})}_{2 \text{ Dirac spinors}} \oplus \underbrace{2(\frac{1}{2}, \frac{1}{2})}_{2 \text{ vectors}}$

- In principle, BPS spectrum is much better to assess because $m = |\mathbb{Z}|$
 $\searrow$ central extension of SUSY-algebra (known)

and a single BPS multiplet cannot just become non-BPS under varying Kähler & CS moduli (non BPS-multiplets have more states?)

- Caveat:

$2 \times (\text{non-BPS vector})$

$$= 8 \cdot (0,0) + 4 \cdot (\tfrac{1}{2},0) + 4 \cdot (0,\tfrac{1}{2}) + 2 \cdot (\tfrac{1}{2},\tfrac{1}{2})$$

$$= 2 \times (\text{hypermultiplet}) \oplus (\text{BPS vectormultiplet})$$

$\Rightarrow$ BPS vectors & hypers can disappear from BPS spectrum in pairs

- Only BPS-indices $\simeq n_H - 2n_V$

are invariant under small def.

- geometric point of view:

$\rightarrow$ BPS-state from M2-branes on holomorphic curves $\mathcal{C}$ in $CY_3 \times X$.

$\rightarrow$ Mori-cone enhances at special loci in CS moduli space, where additional algebraic curves arise

$\rightarrow$ BPS spectrum jumps,
but BPS indices are invariant.

Def.: Gopakumar-Vafa invariants

- Given a SUSY - rep. $\mathcal{R}$,

$$\mathcal{R} \stackrel{\text{BPS}}{=} [2(0,0) + (\frac{1}{2},0)] \otimes \sum_{j_L, j_R} N_{[e]}^{j_L, j_R} (j_L, j_R)$$

from M2s wrapped on curves
in fixed class $[e]$,

$$\text{Tr}_R \left(\underbrace{(C')^{2g_R}}_{\text{s.t.}} \mathcal{R} \right) = \sum_{g=0}^{\infty} \boxed{n_g^e} [2(0) + (\frac{1}{2})]^{g+1}$$

non-BPS
multiplets contribute
nothing!

↑
genus g GV-invariants
 $n_g: H_2(X, \mathbb{Z}) \rightarrow \mathbb{Z}$