

# Duality Hierarchies

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- with Bergshoeff et. al. 0901.2054
- with Samtleben, 1805.03220, 1903.02821
- with Bonezzi, 1904.11036, 1910.10399
- closely related work by Strobl & friends

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## Overview

Central Question:

Can physics be encoded in first order duality relations?

- Part I: Duality Relations & Tensor Hierarchy
- Part II: Duality Hierarchy & Differential Graded Lie Algebra
- Part III: Embedding Tensor of ExFT

# Part I: Duality Relations & Tensor Hierarchy

# First Order Duality Relations

Free scalar in  $D = 3$ :

$$\square\phi = \partial_\mu\partial^\mu\phi = 0$$

integrability condition of duality relation defining dual vector

$$\partial^\mu\phi = \frac{1}{2}\varepsilon^{\mu\nu\rho}F_{\nu\rho} = \varepsilon^{\mu\nu\rho}\partial_\nu A_\rho$$

Linearized Gravity ( $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$ ):

$$G_{\mu\nu}^{(1)}(h) = \partial^\rho Y_{\rho\mu,\nu} = 0$$

where

$$Y_{\mu\nu,\rho} = -\partial_{[\mu}\bar{h}_{\nu]\rho} + \eta_{\rho[\mu}\partial^\lambda\bar{h}_{\nu]\lambda}, \quad \bar{h}_{\mu\nu} = h_{\mu\nu} - \frac{1}{2}h\eta_{\mu\nu}$$

Duality relation for  $(D - 3, 1)$  dual graviton:

$$Y_{\mu\nu,\rho} = \varepsilon_{\mu\nu}{}^{\lambda_1\dots\lambda_{D-2}}\partial_{\lambda_1}D_{\lambda_2\dots\lambda_{D-2},\rho}$$

## Obstacles to Duality Relations

In presence of scalar potential  $V$ ,

$$\square\phi = \frac{\partial V}{\partial\phi}$$

we can no longer “pull out a derivative”  $\Rightarrow$  no first order duality relation

Similarly, for gravity with sources,

$$G_{\mu\nu}^{(1)}(h) = \kappa(T_{\mu\nu} - G_{\mu\nu}^{(2)}(h) + \dots)$$

one cannot “pull out a derivative” on the RHS, because otherwise “improvement term” and hence trivial

[Bergshoeff, de Roo, Kerstan, Kleinschmidt, Riccioni (2008)]

## Hierarchy of Duality Relations

In gauged supergravity and ExFT we encounter field strengths

$$\mathcal{F}_{\mu\nu} = 2\partial_{[\mu}A_{\nu]} + \cdots + \mathfrak{D}B_{\mu\nu}$$

with Bianchi identity (“Tensor Hierarchy”)

$$D_{[\mu}\mathcal{F}_{\nu\rho]} = \mathfrak{D}\mathcal{H}_{\mu\nu\rho}, \quad \mathcal{H}_{\mu\nu\rho} = \partial_{[\mu}B_{\nu\rho]} + \cdots$$

→ integrability condition of *two duality relations*

$$D^\mu\phi = \varepsilon^{\mu\nu\rho}\mathcal{F}_{\nu\rho}$$
$$\varepsilon^{\mu\nu\rho}\mathcal{H}_{\mu\nu\rho} = f(\phi)$$

where  $\mathfrak{D}f(\phi) = V'(\phi)$  then

$$D_\mu D^\mu\phi = \varepsilon^{\mu\nu\rho}D_\mu\mathcal{F}_{\nu\rho} = \varepsilon^{\mu\nu\rho}\mathfrak{D}\mathcal{H}_{\mu\nu\rho} = \mathfrak{D}f(\phi) = V'(\phi)$$

→ non-linear scalar equation of gauged supergravity

(Note: in Gauged SUGRA/ExFT gauging and scalar potential correlated)

## Part II: Duality Hierarchy & Differential Graded Lie Algebra

# Leibniz Algebras

Gauge algebra of gauged sugra/DFT/ExFT governed by Leibniz algebra, a vector space  $X_0$  with bilinear map  $\circ$  obeying

[Strobl (2013), Kotov & Strobl (2018)]

$$V \circ (W \circ U) = (V \circ W) \circ U + W \circ (V \circ U)$$

Minimal structure needed to have consistent infinitesimal variations

$$\delta_V W \equiv \mathcal{L}_V W \equiv V \circ W$$

Closure implied by Leibniz relation:  $[\mathcal{L}_V, \mathcal{L}_W]U = \mathcal{L}_{V \circ W}U$ .

Symmetric part

$$\{V, W\} \equiv \frac{1}{2}(V \circ W + W \circ V) \equiv \frac{1}{2}\mathcal{D}(V \bullet W)$$

Differential  $\mathcal{D}$  and  $\bullet : X_0 \otimes X_0 \rightarrow X_1$ . If trivial Leibniz reduces to Lie.

In general, higher algebra on chain complex (in particular  $L_\infty$  algebra)

$$\cdots \rightarrow X_1 \xrightarrow{\mathcal{D}} X_0$$

[O.H. & Samtleben (2018), Kotov & Strobl (2018), O.H. & Bonezzi (2019)]



## Embedding Tensor

Derive Leibniz algebra from *Lie* algebra  $\mathfrak{g}$  and embedding tensor map. Given Lie brackets  $[\cdot, \cdot]$  we have *adjoint* and *coadjoint* reps

$$\delta_\zeta a \equiv \text{ad}_\zeta a \equiv [\zeta, a], \quad \delta_\zeta \mathcal{A} \equiv \text{ad}_\zeta^* \mathcal{A}$$

leaving pairing  $\mathcal{A}(a) \in \mathbb{R}$  invariant. Given representation  $R$ ,  $\delta_\zeta V = \rho_\zeta V$ , embedding tensor is map

$$\vartheta : R \rightarrow \mathfrak{g}$$

Transport Lie algebra to higher algebra on  $R$ :

$$V \circ W \equiv \rho_{\vartheta(V)} W$$

defines Leibniz algebra provided *quadratic constraint* obeyed

$$\vartheta(V \circ W) = [\vartheta(V), \vartheta(W)]$$

Equivalently, embedding tensor  $\Theta : R \otimes \mathfrak{g}^* \rightarrow \mathbb{R}$  defined by pairing

$$\Theta(V, \mathcal{A}) \equiv -\mathcal{A}(\vartheta(V))$$

is Leibniz invariant.

## Derived from differential graded Lie algebra

Upon suspension (overall shift of degree) and addition of vector spaces the graded symmetric  $\bullet$  can be interpreted as dgLa (bracket  $[ , ]$  satisfying graded Jacobi and differential  $\mathcal{D}$  with  $\mathcal{D}^2$ ) on

$$\cdots \longrightarrow X_2 \xrightarrow{\mathcal{D}} X_1 \xrightarrow{\mathcal{D}=\vartheta} X_0 = \mathfrak{g} \xrightarrow{\mathcal{D}} X_{-1} \longrightarrow \cdots$$

Leibniz algebra on  $X_1$  then “derived” from dgLa bracket:

[Lavau & Palmkvist (2019), O.H. & Bonezzi (2019)]

$$x \circ y \equiv -\mathcal{D}x \bullet y = \pm[\mathcal{D}x, y]$$

Leibniz relations follow from dgLa axioms.

Entire chain complex forms representation space of  $\mathfrak{g}$ .

## Gauge theory or tensor hierarchy

Given dgLa  $X$  take dgLa  $Z \equiv X \otimes \Omega(M)$  of forms on  $M$  valued in  $X$

$$\begin{array}{ccccccc}
 \dots & \xrightarrow{\mathfrak{D}} & Z_{[0]}^3 & \xrightarrow{\mathfrak{D}} & Z_{[0]}^2(\chi_0) & \xrightarrow{\mathfrak{D}} & Z_{[0]}^1(\lambda_0) \\
 \downarrow d & & \downarrow d & & \downarrow d & & \downarrow d \\
 \dots & \xrightarrow{\mathfrak{D}} & Z_{[1]}^3(\chi_1) & \xrightarrow{\mathfrak{D}} & Z_{[1]}^2(\lambda_1) & \xrightarrow{\mathfrak{D}} & Z_{[1]}^1(A_1) \\
 \downarrow d & & \downarrow d & & \downarrow d & & \downarrow d \\
 \dots & \xrightarrow{\mathfrak{D}} & Z_{[2]}^3(\lambda_2) & \xrightarrow{\mathfrak{D}} & Z_{[2]}^2(A_2) & \xrightarrow{\mathfrak{D}} & Z_{[2]}^1(F_2) \\
 \downarrow d & & \downarrow d & & \downarrow d & & \downarrow d \\
 \dots & \xrightarrow{\mathfrak{D}} & Z_{[3]}^3(A_3) & \xrightarrow{\mathfrak{D}} & Z_{[3]}^2(F_3) & \xrightarrow{\mathfrak{D}} & Z_{[3]}^1(dF_2)
 \end{array}$$

dgLa structure w.r.t. diagonal grading  $|Z_{[p]}^k| = k - p$  and differential

$$\partial \equiv d + \mathfrak{D}$$

Combing forms into formal sums, remembering only diagonal degree,

$$\cdots \longrightarrow Z_1 \xrightarrow{\partial} Z_0 \xrightarrow{\partial} Z_{-1} \longrightarrow \cdots ,$$

$$\Lambda \qquad \mathcal{A} \qquad \mathcal{F}$$

where  $\Lambda$  gauge parameters,  $\mathcal{A}$  gauge fields, and  $\mathcal{F}$  field strengths.

Maurer-Cartan forms

$$\Omega \equiv e^{-\mathcal{A}} \partial e^{\mathcal{A}}$$

identically satisfy Maurer-Cartan equations

[Greitz, Howe & Palmkvist (2014)]

$$\partial\Omega + \frac{1}{2}[\Omega, \Omega] = 0$$

In terms of  $\Omega = \mathcal{F} + \mathcal{D}A_1$  yields *Bianchi identity* of tensor hierarchy

$$DF_p + \frac{1}{2} \sum_{k=2}^{p-1} [F_k, F_{p+1-k}] + \mathcal{D}F_{p+1} = 0$$

## Scalars and generalization of $G/H$

Include zero-forms (scalars)  $\phi \in \mathfrak{g} = X_0$ :

$$\Omega \equiv e^{-\phi} e^{-\mathcal{A}} \partial (e^{\mathcal{A}} e^{\phi})$$

satisfies Maurer-Cartan  $\Leftrightarrow \hat{\partial}\Omega = \partial + \Omega$  squares to zero:  $\hat{\partial}^2\Omega = 0$

in components:

$$\hat{\partial}\Omega = D_Q + P + T + \sum_{p=2}^{\infty} \mathcal{V}^{-1} F_p \mathcal{V} ,$$

“coset representative”  $\mathcal{V} \equiv e^{\phi} \in G$ ,  $\mathcal{V}^{-1} D\mathcal{V} = P + Q$ ,  $Q \in \mathfrak{h} \subset \mathfrak{g}$   
and embedding tensor  $\Theta \in X_{-1}$  and T-tensor

$$\mathcal{V}^{-1} \mathcal{D}\mathcal{V} = [\Theta, \phi] + \dots \quad T \equiv \mathcal{V}^{-1} \Theta \mathcal{V}$$

## Duality Hierarchy & Dynamics

Goal: complete gauged supergravity/ExFT as tower of duality relations

[Bergshoeff, Hartong, O. H., Huebscher & Ortin (2009)]

In  $n$  external dimensions following structures needed:

- $G$  covariant isomorphisms  $I_p : X_p^* \rightarrow X_{n-p-2}$
- $H$  invariant metric  $\Delta_p : X_p \rightarrow X_p^*$

→ ‘generalized metric’  $\mathcal{M}_1 \equiv \mathcal{V}\Delta_1\mathcal{V}^\top$  extended to map  $\mathcal{M}$  on entire  $X$

$$\text{Duality relations: } \mathcal{F} = \star I \mathcal{M} \mathcal{F}$$

Integrability conditions imply non-linear equations, including scalars with

$$V = \frac{1}{2}(T, \Delta_{-1}T)$$

## Part III: Embedding Tensor of ExFT

## Underlying Lie Algebra

$\mathfrak{g}_0$  Lie algebra of U-duality group (e.g.  $E_{7(7)}$ ),

$$[t_\alpha, t_\beta] = f_{\alpha\beta}{}^\gamma t_\gamma$$

Pick representation  $R_0$  with matrices  $(t_\alpha)_M{}^N$ ,  $M, N = 1, \dots, \dim(R_0)$ .

*Infinite-dimensional* Lie algebra  $\mathfrak{G}$  given by pairs of functions  $\zeta \equiv (\lambda^M, \sigma^\alpha)$  of coordinates  $Y^M$  of  $\mathbb{R}^{\dim(R_0)}$ ,

$$[\zeta_1, \zeta_2] = \left( 2 \lambda_{[1}{}^N \partial_N \lambda_2]{}^M, \right. \\ \left. 2 \lambda_{[1}{}^N \partial_N \sigma_2]{}^\alpha + f_{\beta\gamma}{}^\alpha \sigma_1{}^\beta \sigma_2{}^\gamma \right)$$

Coadjoint action on  $\mathcal{A} = (A_\alpha, B_M) \in \mathfrak{G}^*$  so that invariant pairing with  $a = (p^M, q^\alpha) \in \mathfrak{G}$  given by the integral:

$$\mathcal{A}(a) = \int dY (p^M B_M + q^\alpha A_\alpha)$$



Subtlety: eventually need to impose section constraint

$\Rightarrow$  non-vanishing vectors  $\lambda^M$  so that  $\lambda^M \partial_M = 0$

$\Rightarrow$  subalgebra  $\mathfrak{I}$  defined as

$$\mathfrak{G} \supset \mathfrak{I} = \left\{ \zeta = (\lambda^M, 0) \in \mathfrak{G} \mid \lambda^M \partial_M = 0 \right\}$$

is generally non-empty, forming an abelian ideal of  $\mathfrak{G}$ .

The Lie algebra  $\mathfrak{g}$  is then

$$\mathfrak{g} = \mathfrak{G}/\mathfrak{I}$$

Its dual  $\mathfrak{g}^*$  consists of functions  $\mathcal{A} = (A_\alpha, B_M)$  with a pairing for which the non-trivial denominator  $\mathfrak{I}$  requires the  $B_M$  to satisfy

$$\forall \lambda^M : \lambda^M \partial_M = 0 \implies \lambda^M B_M = 0$$

$\Rightarrow$  “covariantly constrained” objects  $B_M$

## Embedding Tensor

Representation  $R$  of  $\mathfrak{g}$  given by functions  $V^M(Y)$ :

$$\rho_\zeta V^M \equiv \lambda^N \partial_N V^M - \sigma^\alpha (t_\alpha)_N{}^M V^N$$

Define embedding tensor map

$$\vartheta(V) = [(V^M, -\kappa(t^\alpha)_M{}^N \partial_N V^M)] \in \mathfrak{g}$$

where  $[\ ]$  indicates equivalence class.

Derived Leibniz structure defines *generalized Lie derivative*

$$\begin{aligned} (\Lambda \circ V)^M &\equiv \mathcal{L}_\Lambda V^M \equiv \rho_{\vartheta(\Lambda)} V^M \\ &= \Lambda^N \partial_N V^M + \kappa(t^\alpha)_N{}^M (t_\alpha)_L{}^K \partial_K \Lambda^L V^N \end{aligned}$$

closure/quadratic constraint  $\Rightarrow$  strong section constraint on  $\partial_M$ .

## $O(d, d)$ or Double Field Theory

$\mathfrak{g}_0 = \mathfrak{o}(d, d)$  with representation matrices and invariant metric

$$(t^{IJ})_M{}^N = 2 \delta^{[I} \eta^{J]N}, \quad \eta_{MN} = \begin{pmatrix} 0 & \mathbf{1} \\ \mathbf{1} & 0 \end{pmatrix}$$

$\infty$ -dimensional Lie algebra  $\mathfrak{g}$  consists of functions  $\zeta = (\lambda^M, \sigma^{IJ})$ ,  
with embedding tensor map  $\vartheta : R \rightarrow \mathfrak{g}$

$$\vartheta(V) = (V^M, -\kappa(t^{IJ})_M{}^N \partial_N V^M) = (V^M, 2 \partial^{[I} V^{J]})$$

Derived Leibniz algebra

$$(V \circ W)^M = V^N \partial_N W^M + \partial^M V_N W^N - \partial_N V^M W^N$$

The symmetric part obeys  $\{V, W\} = \frac{1}{2} \mathfrak{D}(V \bullet W)$  for

$$\mathfrak{D} : X_1 \rightarrow X_0, \quad (\mathfrak{D}f)^M \equiv \partial^M f$$

$$\bullet : X_0 \otimes X_0 \rightarrow X_1, \quad V \bullet W \equiv \eta_{MN} V^M W^N$$

$X_0$ :  $O(d, d)$  vectors,  $X_1$ :  $O(d, d)$  scalars  $\rightarrow$  Courant algebroid

## $E_{7(7)}$ Exceptional Field Theory

Generators  $t_\alpha$ ,  $\alpha = 1, \dots, 133$ ,  $\dim R_0 = 56$ ,  $M, N = 1, \dots, 56$ ,  
 symplectic embedding  $E_{7(7)} \subset \text{Sp}(56) \Rightarrow$  invariant form  $\Omega_{MN}$

Embedding tensor yields Leibniz algebra

$$(V \circ W)^M = V^N \partial_N W^M - W^N \partial_N V^M - \frac{1}{2} \partial^M V_N W^N \\ - 12 (t_\alpha)^{MN} (t^\alpha)_{KL} \partial_N V^K W^L$$

Symmetric part obeys  $\{V, W\} = \frac{1}{2} \mathcal{D}(V \bullet W)$  for  $\bullet : X_0 \otimes X_0 \rightarrow X_1 \cong \mathfrak{g}^*$ ,  
 $\mathcal{A} = (A_\alpha, B_M) \in \mathfrak{g}^*$ . The bullet operation is defined by

$$V \bullet W \equiv \left( (t_\alpha)_{KL} V^K W^L, \frac{1}{2} (V_N \partial_M W^N + W_N \partial_M V^N) \right) \in \mathfrak{g}^*$$

and the differential  $\mathcal{D} : \mathfrak{g}^* \rightarrow R$  by

$$(\mathcal{D}\mathcal{A})^M \equiv -12 \left( (t^\alpha)^{MN} \partial_N A_\alpha - \frac{1}{12} \Omega^{MN} B_N \right) \in R$$

Quadratic constraints require

$$(t_\alpha)^{MN} \partial_M f \partial_N g = 0, \quad \Omega^{MN} \partial_M f \partial_N g = 0$$

## E<sub>8(8)</sub> Exceptional Field Theory

$\mathfrak{e}_{8(8)}$  representation given by (co)adjoint

→  $\infty$ -dimensional Lie algebra given by pairs  $\zeta = (\lambda^M, \sigma_M)$ ,  $M = 1, \dots, 248$

→ co-adjoint vectors are pairs  $\mathcal{A} = (A^M, B_M)$

Embedding tensor is map  $\vartheta : \mathfrak{g}^* \rightarrow \mathfrak{g}$  for  $\mathfrak{g}^* \ni \Upsilon = (\Lambda^M, \Sigma_M)$  given by

$$\vartheta(\Upsilon) = (\Lambda^M, f_M{}^N{}_K \partial_N \Lambda^K + \Sigma_M) \equiv (\Lambda^M, R_M(\Lambda, \Sigma))$$

Leibniz algebra defined on  $\mathfrak{g}^*$

$$\Upsilon_1 \circ \Upsilon_2 \equiv \left( \mathcal{L}_{\Upsilon_1}^{[1]} \Lambda_2^M, \mathcal{L}_{\Upsilon_1}^{[0]} \Sigma_{2M} + \Lambda_2^N \partial_M R_N(\Upsilon_1) \right)$$

→ tensor hierarchy of ExFT necessarily employs ‘doubled’ vectors

$$\mathcal{A}_\mu \equiv (A_\mu^M, B_{\mu M})$$

Bilinear form

$$\Theta(\mathcal{A}_1, \mathcal{A}_2) = - \int dY (2A_{(1}{}^M B_{2)M} - f^M{}_{NK} A_1^N \partial_M A_2^K)$$

gives invariant 3D Chern-Simons theory.

## Outlook

- Inclusion of external metric  $g_{\mu\nu}$  as part of tensor hierarchy or dgLa?
- Detailed formulation of ExFT in terms of these structures, e.g. ExFT potential as  $V = \frac{1}{2}(T, \Delta_{-1}T)$ ?
- (Non-covariant) actions for duality relations?  
c.f. exotic  $D = 6$  theories in Henning's talk
- Universal dgLa unifying all  $E_{n(n)}$ ,  $n = 2, \dots, 9$ , without split into internal/external?