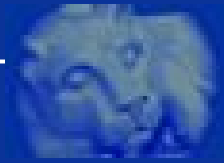


Hypersurface deformation structures and space-time models

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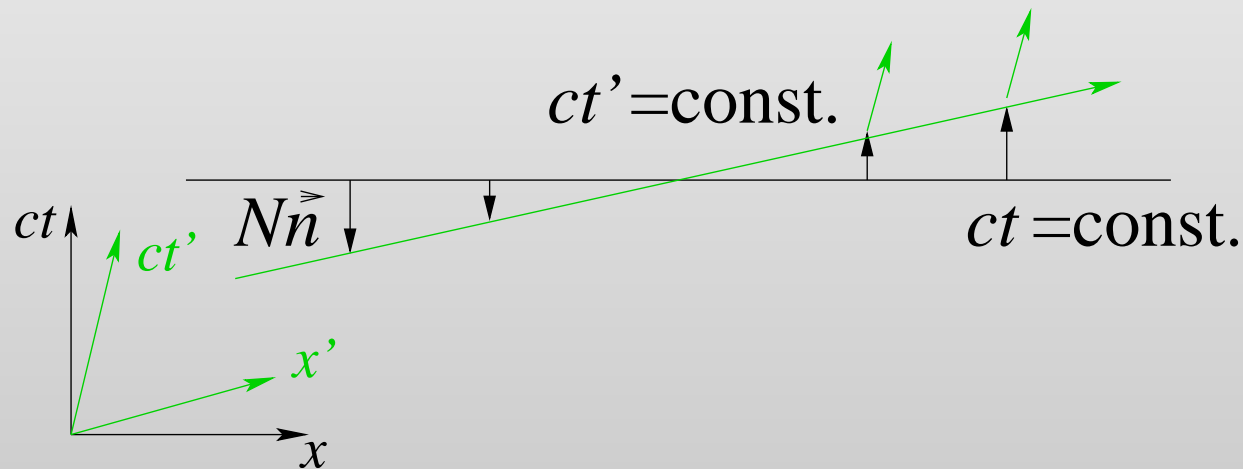


Poincaré group $\mathbb{R}^4 \rtimes O(3, 1)$ determines space-time structure.

Lorentz boost:

$ct' = \gamma ct + \alpha x$ and $x' = \alpha ct + \gamma x$ such that $\gamma^2 - \alpha^2 = 1$.

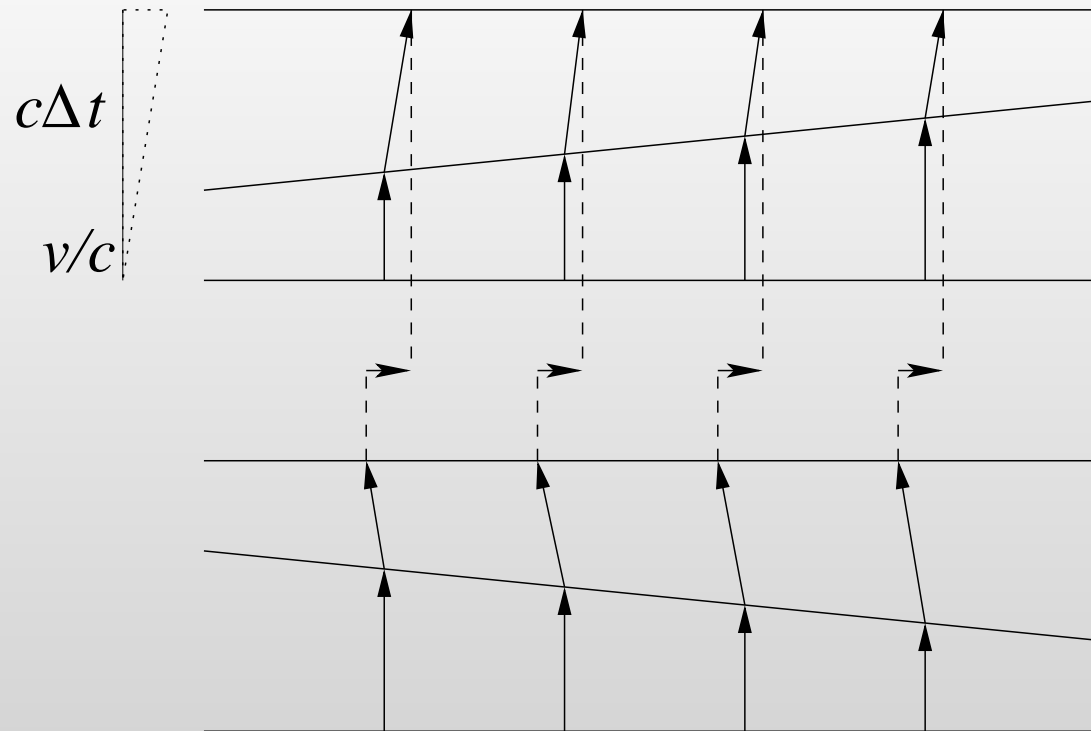
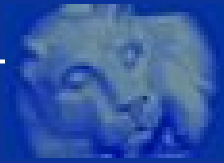
Transformations in coordinate system:



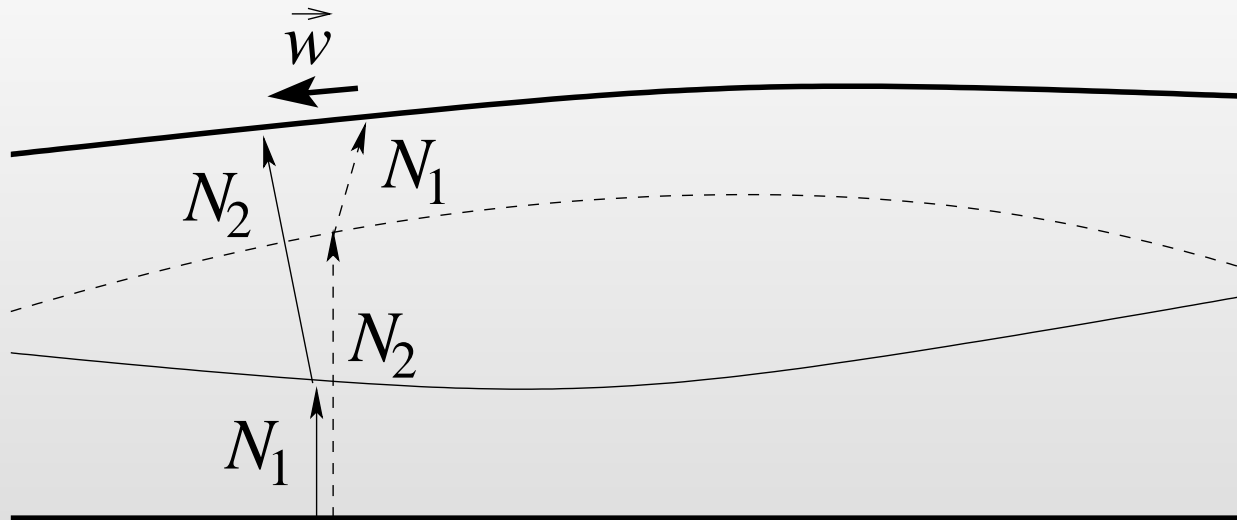
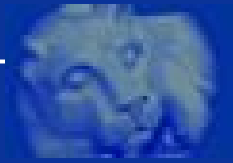
Poincaré transformations as linear deformations of spatial slice:

$$N(\vec{x}) = c\Delta t + (\vec{v}/c) \cdot \vec{x} \quad , \quad \vec{w}(\vec{x}) = \Delta \vec{x} + \mathbf{R}\vec{x}$$

with $(\Delta t, \Delta \vec{x}) \in \mathbb{R}^4$, $\vec{v} \in \mathbb{R}^3$, $\mathbf{R} \in O(3)$.



Normal deformations by $N_1(x) = vx/c$ (Lorentz boost) and $N_2(x) = c\Delta t - vx/c$ (reverse Lorentz boost and waiting Δt) commute up to spatial displacement $\Delta x = v\Delta t$.



Hypersurface-deformation commutators:

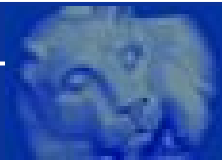
$$[S(\vec{w}_1), S(\vec{w}_2)] = S(\mathcal{L}_{\vec{w}_1} \vec{w}_2)$$

$$[T(N), S(\vec{w})] = -T(\mathcal{L}_{\vec{w}} N)$$

$$[T(N_1), T(N_2)] = S(N_1 \nabla N_2 - N_2 \nabla N_1)$$

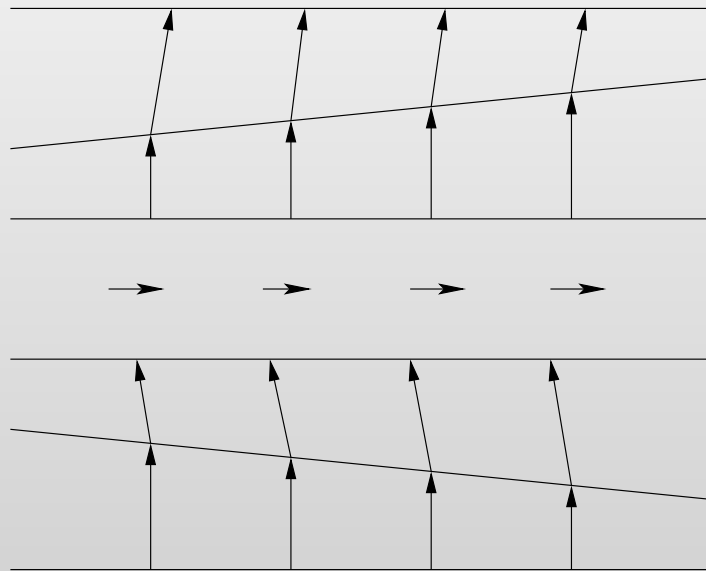
∇N requires metric: $\nabla N = q^{ab} \partial_a N$ (structure functions).

“Bracket of bundle sections over space of spatial metrics q .”

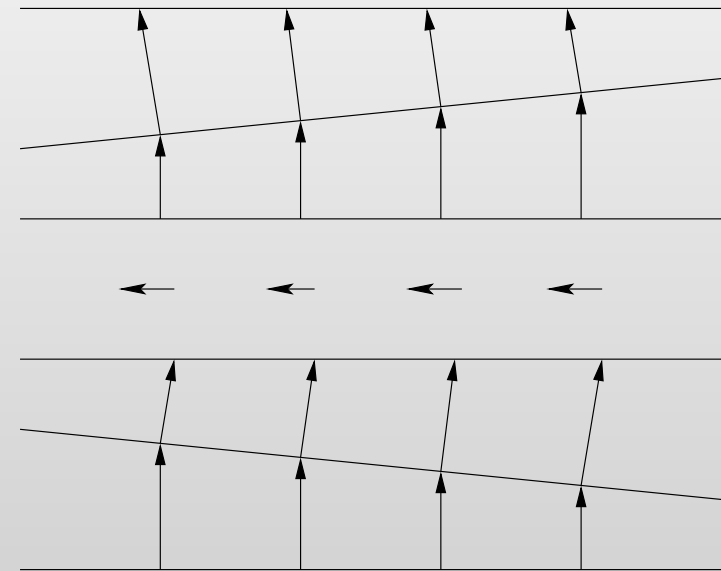


Example

Compare Minkowski space-time with Euclidean space:

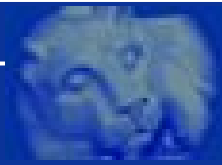


Minkowski



Euclid

$$[T(N_1), T(N_2)] = \pm S(N_1 \nabla N_2 - N_2 \nabla N_1)$$



Dirac 1958: Canonical formulation of general relativity.

Brackets realized by phase-space functions $S(\vec{w})(q, K)$ and $T(N)(q, K)$. Depend on spatial metric q and extrinsic curvature K for given $\vec{w}(q, K)$ and $N(q, K)$.

Invariance under hypersurface deformations implies general covariance:

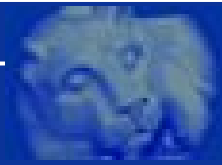
$$\mathcal{L}_\xi f(q, K) = X_{T(N\xi^t)(q, K) + S(\vec{\xi} + \vec{w}\xi^t)(q, K)} f(q, K)$$

in space-time with line element

$$ds^2 = -N^2 dt^2 + \|d\vec{x} + \vec{w}dt\|_q^2$$

provided constraints are satisfied (on-shell):

$S(\vec{w})(q, K) = 0$ and $T(N)(q, K) = 0$ for all \vec{w} and N .



Hojman, Kuchař, Teitelboim 1974–76:

Procedure to determine field equations for q and K covariant under canonical hypersurface deformations.

- At second derivative order, found to be unique up to constants (Newton's and cosmological).
- Equivalent to Einstein's equation for general relativity:

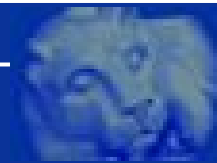
$$\mathcal{L}_t f(q, K) = X_{T(N)(q, K) + S(\vec{w})(q, K)} f(q, K)$$

if (q, K) induced on spatial slice of space-time solution.

- Constraints correspond to tt -component of Einstein's equation ($T(N)(q, K) = 0$) and $t\vec{x}$ components ($S(\vec{w})(q, K) = 0$), respectively.



Classification questions



- Off-shell meaning of hypersurface deformations?

Geometrical picture uses Hamiltonian vector fields of $S(\vec{w})(q, K)$ and $T(N)(q, K)$.

Does not require constraint equations.

- Solutions to Einstein's equation are extrema of the Einstein–Hilbert action.

Generally covariant metric theories are extrema of higher-curvature actions.

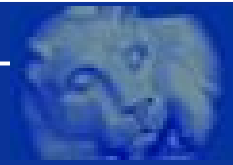
All higher-curvature actions have generators of hypersurface-deformation brackets in canonical form.

[Deruelle, Sasaki, Sendouda, Yamauchi 2009]

Are there hypersurface-deformation invariant theories that are not of higher-curvature form?



Example



Scalar field $\phi(x)$, momentum $p(x)$, one spatial dimension.

$$T(N) = \int dx N \left(f(p) - \frac{1}{4}(\phi')^2 - \frac{1}{2}\phi\phi'' \right) \quad , \quad S(w) = \int dx w \phi p'$$

Spatial diffeomorphisms:

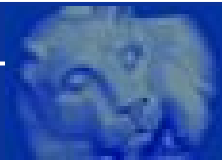
$$\delta_w \phi = \{\phi, S(w)\} = -(w\phi)' \quad , \quad \delta_w p = \{p, S(w)\} = -wp'$$

T -bracket:

$$\{T(N), T(M)\} = S(\beta(p)(N'M - NM'))$$

with $\beta(p) = \frac{1}{2}d^2 f/dp^2$.

Lorentzian-type hypersurface deformations for $f(p) = p^2$.

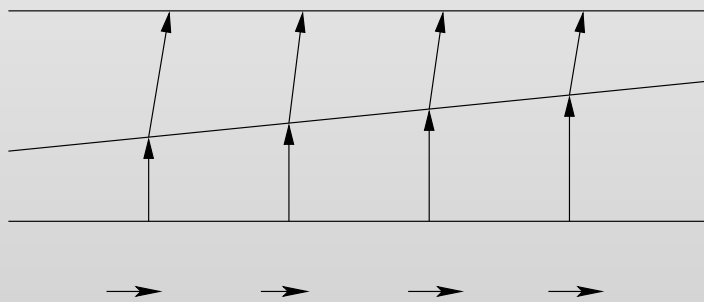


Continuous signature change

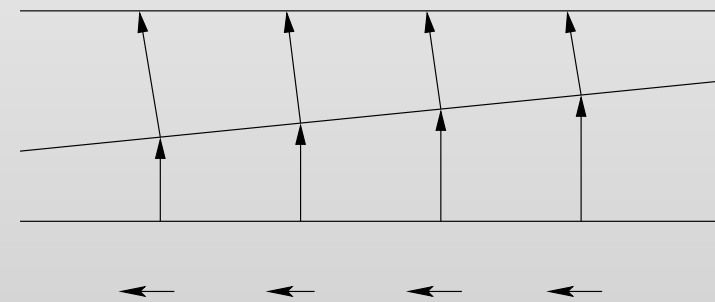
If $f(p) = \sin^2(\delta p)/\delta^2$ (such that $f(p) \approx p^2$ for $\delta \ll 1$),

$$\beta(p) = \frac{1}{2}d^2 f/dp^2 = \cos(2\delta p)$$

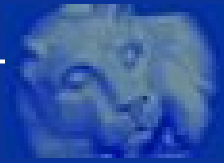
not positive definite.



Minkowski



Euclid



$$\begin{aligned}[S(\vec{w}_1), S(\vec{w}_2)] &= S(\mathcal{L}_{\vec{w}_1} \vec{w}_2) \\ [T(N), S(\vec{w})] &= -T(\mathcal{L}_{\vec{w}} N) \\ [T(N_1), T(N_2)] &= S(N_1 \nabla N_2 - N_2 \nabla N_1)\end{aligned}$$

Brackets depend on spatial metric through ∇ .
Suggests bundle over space of metrics.

Blohmann, Barbosa Fernandes, Weinstein 2010:

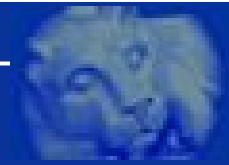
Construction of Lie algebroid with hypersurface deformation brackets when restricted to metric-independent N and \vec{w} .

Blohmann, Schiavina, Weinstein 2022:

Lie-Rinehart algebra or L_∞ -algebroid for non-constant N and \vec{w} , also if constraints are not satisfied.



Evaluating canonical theories



Solution (q, K) of evolution equations

$\mathcal{L}_t f(q, K) = X_{T(N)+S(\vec{w})} f(q, K)$ for given N and \vec{w} determines line element

$$ds^2 = -N^2 dt^2 + \|\mathrm{d}\vec{x} + \vec{w}dt\|_q^2$$

such that K is extrinsic curvature of $t = \text{const}$ slice.

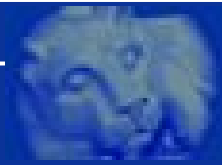
Coordinate transformations of (q, K) given by

$$\mathcal{L}_\xi f(q, K) = X_{T(N\xi^t)+S(\vec{\xi}+\vec{w}\xi^t)} f(q, K)$$

Commutator

$$\mathcal{L}_t \mathcal{L}_\xi - \mathcal{L}_\xi \mathcal{L}_t = \mathcal{L}_{[t, \xi]}$$

determines transformations of N and \vec{w} compatible with dynamical solution.



Physical evaluation uses Hamiltonian vector fields for general covariance and dynamics

Assumes Poisson bracket, not compatible with L_∞ -structure.

Metric-dependent N and \vec{w} suggest new gravitational theories:

$T'(N, \vec{w}) = T(\alpha N + \vec{\beta} \cdot \vec{w})$ (same $S(\vec{w})$) may not result in the same space-time solutions if α, β depend on (q, K) .

Not guaranteed to provide Riemannian space-time solutions:

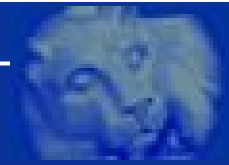
- Must preserve hypersurface-deformation brackets.
- Must be able to derive

$$\mathcal{L}_\xi f(q, K) = X_{T'(N\xi^t) + S(\vec{\xi} + \vec{w}\xi^t)} f(q, K)$$

and corresponding transformation of (\vec{w}, N) .



Example



Spherically symmetric gravity:

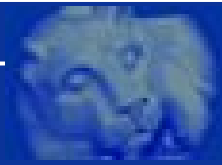
$$ds^2 = -N(t, x)^2 dt^2 + \frac{e_2(t, x)^2}{e_1(t, x)} (dx + w(t, x)dt)^2 + e_1(t, x) d\Omega^2$$

with $e_1(t, x) > 0$. Momenta k_1, k_2 of e_1, e_2 .

$$S(w) = \int dx w \left(e_2 \frac{\partial k_2}{\partial x} - \frac{\partial e_1}{\partial x} k_1 \right)$$

$$T(N) = \int dx N \left(-\frac{e_2 k_2^2}{2\sqrt{e_1}} - 2\sqrt{e_1} k_1 k_2 - \frac{e_2}{2\sqrt{e_1}} + \frac{1}{8\sqrt{e_1} e_2} \left(\frac{\partial e_1}{\partial x} \right)^2 - \frac{\sqrt{e_1}}{2e_2^2} \frac{\partial e_1}{\partial x} \frac{\partial e_2}{\partial x} + \frac{\sqrt{e_1}}{2e_2} \frac{\partial^2 e_2}{\partial x^2} \right)$$

Implement linear combination of S and T by linear transformation $N' = \alpha N, w' = \beta N + w$.



[Erick Duque]

If α depends only on e_1 and k_2 , it must be of the form

$$\alpha(e_1, k_2) = \mu(e_1) \sqrt{1 - s\lambda(e_1)^2 k_2^2}$$

where $s = \pm 1$. Then,

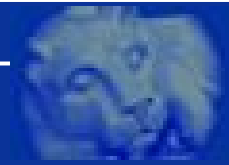
$$\beta(e_1, k_2) = \mu(e_1) \frac{\sqrt{e_1}}{2e_2^2} \frac{\partial e_1}{\partial x} \frac{s\lambda(e_1)^2 k_2}{\sqrt{1 - s\lambda(e_1)^2 k_2^2}}$$

These conditions guarantee that hypersurface-deformation brackets are obtained for $S(w)$ and $T'(N) = T(\alpha N) + S(\beta N)$ and solutions produce well-defined line elements with

$$q^{xx} = \mu(e_1)^2 \left(1 + \frac{1}{4e_2^2} \frac{s\lambda(e_1)^2}{1 - s\lambda(e_1)^2 k_2^2} \left(\frac{\partial e_1}{\partial x} \right)^2 \right) \frac{e_1}{e_2^2}$$



Some implications



If $s = 1$, k_2 bounded for $\beta(e_1, k_2) = \mu(e_1) \sqrt{1 - s\lambda(e_1)^2 k_2^2}$ real.
Expressions somewhat simplified by canonical transformation

$$k_2 = \frac{\sin(\delta k'_2)}{\delta} \quad , \quad e_2 = \frac{e'_2}{\cos(\delta k'_2)} \quad \text{assuming } \lambda(e_1) = 1$$

In this form, modification had been found earlier and shown to imply black-hole solutions without singularity.

[Alonso-Bardaji, Brizuela 2021, 2022]

Relationship with L_∞ -structure clarifies origin of modifications in phase-space dependent linear combination of $S(w)$ and $T(N)$, rather than canonical transformation.

Classification problem still open.