

Presymplectic AKSZ form of Einstein gravity

Maxim Grigoriev

Lebedev Physical Institute

&

Institute for Theoretical and Mathematical Physics, Lomonosov MSU

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M.G., Kotov, 2020; M.G. 2016; Alkalaev, M.G. 2014

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Motivation

- Theories of fundamental interactions (Gravity, YM, Strings, M-Theory, Higher-spin theories ...) are gauge theories.
- Batalin-(Fradkin-) Vilkovisky (BV/BFV) approach (and its generalizations) gives a proper framework for gauge theories. *Batalin, (Fradkin), Vilkovisky, 1981*
- For topological models BV can be brought to a very concise and geometrical *Alexandrov-Kontsevich-Schwartz-Zaboronsky* (AKSZ) form. Reveals underlying structures. Unifies Lagrangian (BV) and Hamiltonian (BFV) formalism.
- AKSZ formulation of a local theory leads to the infinite-dimensional target space. Parent approach *Barnich, M.G, 2010, M.G. 2012*

- An interesting alternative: AKSZ-like sigma model with finite-dimensional **presymplectic** target gives an elegant construction of frame-like Lagrangians *Alkalaev, M.G.*. However, its BV interpretation remains unclear.

AKSZ construction

Alexandrov, Kontsevich, Schwartz, Zaboronsky, 1994

\mathfrak{m} - supermanifold (target space) with coordinates ψ^A :

Ghost degree – $\text{gh}()$

(odd)symplectic structure $\omega^{\mathfrak{m}}$, $\text{gh}(\omega^{\mathfrak{m}}) = n - 1$ and hence

(odd)Poisson bracket $\{ \cdot, \cdot \}$, $\text{gh}(\{ \cdot, \cdot \}) = -n + 1$

“BRST potential” $\mathcal{H}_{\mathfrak{m}}(\Psi)$, $\text{gh}(\mathcal{H}_{\mathfrak{m}}) = n$, master equation $\{ \mathcal{H}_{\mathfrak{m}}, \mathcal{H}_{\mathfrak{m}} \} = 0$

(QP structure: $q = \{ \cdot, \mathcal{H}_{\mathfrak{m}} \}$ and $P = \{ \cdot, \cdot \}$)

\mathcal{X} - supermanifold (source space)

Ghost degree $\text{gh}()$

d_X – odd vector field, $d_X^2 = 0$, $\text{gh}(d_X) = 1$

Typically, $\mathcal{X} = T[1]X$, coordinates $x^\mu, \theta^\mu \equiv dx^\mu$, $d_X = \theta^\mu \frac{\partial}{\partial x^\mu}$,
 $\mu = 0, \dots, n - 1$

Supermanifold of supermaps: $\hat{\sigma} : T[1]X \rightarrow \mathfrak{m}$. Coordinates (fields): $\psi^A(x, \theta) := \hat{\sigma}^*(\psi^A)$. BV master action

$$S^{BV}[\hat{\sigma}] = \int_{T[1]X} (\hat{\sigma}^*(\chi)(d_X) - \hat{\sigma}^*(\mathcal{H}_m)), \quad \text{gh}(S^{BV}) = 0$$

χ is the potential: $\omega^m = d\chi$. In components:

$$S_{BV} = \int d^n x d^n \theta [\chi_A(\psi(x, \theta)) d_X \psi^A(x, \theta) - \mathcal{H}_m(\psi(x, \theta))]$$

BV symplectic structure:

$$\omega^{BV} = \int_{T[1]X} \hat{\sigma}^*(\omega_{AB}^m) \delta\psi^A(x, \theta) \wedge \delta\psi^B(x, \theta)$$

BV antibracket:

$$(F, G) = \int_{T[1]X} \frac{\delta^R F}{\delta\psi^A(x, \theta)} \omega_m^{AB} \frac{\delta G}{\delta\psi^B(x, \theta)}, \quad \text{gh}(,) = 1$$

Master equation:

$$(S^{BV}, S^{BV}) = 0 \quad \text{modulo boundary terms}$$

BRST differential:

$$s = \int d^n x d^n \theta (d_X \psi^A(x, \theta) - q^A(\psi(x, \theta))) \frac{\delta}{\delta \psi^A(x, \theta)} .$$

Natural lift of q and d_X to the space of supermaps.

Dynamical fields: those of vanishing ghost degree

$$\psi^A(x, \theta) = \psi^0{}^A(x) + \psi^1{}^A{}_\mu(x) \theta^\mu + \dots \quad \text{gh}(\psi^k{}^A{}_{\mu_1 \dots \mu_k}) = \text{gh}(\psi^A) - k$$

If $\text{gh}(\psi^A) = k$ with $k \geq 0$ then $\psi^k{}^A{}_{\mu_1 \dots \mu_k}(x)$ is dynamical.

AKSZ equations of motion:

$$\omega_{AB}^m(\psi(x, \theta))(d_X \psi^A - q^A) = 0, \quad \Rightarrow \quad (d_X \psi^A(x, \theta) - q^A(\Psi(x, \theta))) = 0$$

provided ω_{AB}^m is invertible.

More invariantly, if $\psi^A(x, \theta) = \sigma^*(\psi^A)$ the equations of motion read as:

$$d_X \sigma^*(\psi^A) = \sigma^*(q\psi^A) \quad \Leftrightarrow \quad d_X \circ \sigma^* = \sigma^* \circ Q$$

so that σ^* is a morphism of respective complexes. Pure gauge solutions are trivial morphisms, i.e. σ^* of the form

$$\sigma^* = d_X \circ \xi^* + \xi^* \circ q$$

Features:

- “Knows” BV at the very deep level. Field theory encoded in a finite-dimensional graded manifold. In particular, local BRST cohomology is isomorphic to the target space ones *Barnich, M.G. (2009)*
- Unifies BV and BFV. For $X = \Sigma \times \mathbb{R}^1$ taking $T[1]\Sigma$ as a source gives BFV-AKSZ sigma model. *M.G., Damgaard, 2000, M.G. Barnich 2003*. Further developments: *Cattaneo, Mnev, Reshtikhin 2012, M.G, Bekaert 2013, Ikeda Strobl 2019,...* Gives a natural framework to study gauge theories with (asymptotic) boundaries
- Admits nonlagrangian (at the level of equations of motion) version, giving a BV extension of free differential algebras (with constraints) *Sullivan; Fre, D'Auria; Vasiliev*

Presymplectic AKSZ

Alkalaev, M.G., 2013, implicitly in AKSZ

\mathfrak{m} - supermanifold (target space) with coordinates ψ^A :

Ghost degree – $\text{gh}()$

(odd) 2-form $\omega^{\mathfrak{m}}$, $\text{gh}(\omega^{\mathfrak{m}}) = n - 1$

Homological vector field q , $q^2 = 0$, $\text{gh}(q) = 1$ satisfying

$$L_q \omega^{\mathfrak{m}} = 0, \quad d\omega^{\mathfrak{m}} = 0$$

It follows there is function \mathcal{H} , $\text{gh}(\mathcal{H}) = n$ satisfying

$$i_q \omega^{\mathfrak{m}} = d\mathcal{H}$$

for some \mathcal{H} , $\text{gh}(\mathcal{H}) = n$. Note that $i_q i_q \omega^{\mathfrak{g}[1]} = 0 = q\mathcal{H}$

Source: $\mathcal{X} = T[1]X$

Ghost degree $\text{gh}()$

$d_X = \theta^\mu \frac{\partial}{\partial x^\mu}$ – odd vector field, $d_X^2 = 0$, $\text{gh}(d_X) = 1$

This data defines BRST-like differential:

$$s = \int d^n x d^n \theta (d_X \psi^A(x, \theta) - q^A(\psi(x, \theta))) \frac{\delta}{\delta \psi^A(x, \theta)}$$

BV-like action:

$$S^{BV}[\hat{\sigma}] = \int_{T[1]X} (\hat{\sigma}^*(\chi)(d_X) - \hat{\sigma}^*(\mathcal{H}))$$

which reduced to $S[\sigma]$ if one sets to zero fields of nonvanishing degree and BV-like presymplectic structure:

$$\omega^{BV} = \int_{T[1]X} \hat{\sigma}^*(\omega_{AB}^m) \delta \psi^A(x, \theta) \wedge \delta \psi^B(x, \theta)$$

Compatibility (in place of master equation):

$$\omega^{BV}(s, s) = 0, \quad i_s \omega^{BV} = \delta S^{BV} \quad \text{modulo boundary terms.}$$

– presymplectic AKSZ sigma model

Is it also topological? What this has to do with the usual BV?

Presymplectic AKSZ form of Cartan-Weyl action

Alkalaev, MG 2013

Take as \mathfrak{m} a graded manifold $\mathfrak{g}[1]$ with \mathfrak{g} being $(A)dS$ or Poincare algebra in n dimensions. Standard coordinates ξ^a, ρ^{ab} correspond to the translation (transvections) and the Lorentz rotation generators. The Lie algebra structure on \mathfrak{g} defines a Q -structure on $\mathfrak{g}[1]$:

$$q\xi^a = \rho^a_b \xi^b, \quad q\rho^{ab} = \rho^a_c \rho^{cb} + \lambda \xi^a \xi^b,$$

q -invariant presymplectic structure:

$$\omega^{\mathfrak{g}[1]} = \mathcal{V}_{abc}(\xi) d\xi^a d\rho^{bc}, \quad \mathcal{V}_{a_1 \dots a_k}(\xi) = \frac{1}{(n-k)!} \epsilon_{a_1 \dots a_k b_1 \dots b_{n-k}} \xi^{b_1} \dots \xi^{b_{n-k}}$$

Presymplectic potential and BRST potential:

$$\chi = \mathcal{V}_{ab}(\xi) d\rho^{ab}, \quad \mathcal{H} = \mathcal{V}_{ab}(\xi) (\rho^a_c \rho^{cb} + \lambda \xi^a \xi^b)$$

A map σ is parameterized by:

$$\sigma^*(\xi^a) = e_\mu^a(x)\theta^\mu, \quad \sigma^*(\rho^{ab}) = \omega_\mu^{ab}(x)\theta^\mu,$$

interpreted as frame field and Lorentz connection. Restrict to configurations such that $e_\mu^a(x)$ is invertible.

The action of the AKSZ model:

$$S[\sigma] = \int_{T[1]X} (\sigma^*(\chi)(d_X) - \sigma^*(\mathcal{H})) = \int_X \mathcal{V}_{ab}(e)(d_X\omega^{ab} + \omega^a{}_c\omega^{cb} + \lambda e^a e^b)$$

Familiar Cartan-Weyl action.

What is a BV interpretation of $S^{BV}(\hat{\sigma})$?

Presymplectic BV

Usual BV (M, s, ω)

$$i_s \omega = dS, \quad d\omega = 0,$$

ω -nondegenerate.

Take ω possibly degenerate but regular. Kernel distribution $K \subset TM$ of ω is involutive and

$$YS = 0, \quad L_Y \omega = 0 \quad \forall Y \in K$$

On the space of leaves N (in general, exists only locally) one gets:

$$i_{s_N} \omega^N = dS_N, \quad d\omega_N = 0,$$

ω_N is invertible, i.e. standard BV setting is recovered.

Slight generalization of the standard BV. No need to explicitly take the quotient explicitly. i.e. formal path integral

$$\int_L \exp \frac{i}{\hbar} S$$

where gauge-fixing submanifold L projects to a Lagrangian submanifold of N . In components: gauge fixing conditions $G_\alpha = 0$ are to be supplemented with $T_i = 0$ identifying N as a submanifold of M .

In field theory some care is to be taken. Safe situation:

$$M \rightarrow J_X(M) = \text{Maps}(X, M)$$

Then $J_X(N)$ is a usual BV. As we are going to see this happens for presymplectic AKSZ gravity

Supermanifold M

Presymplectic AKSZ for gravity: target $(\mathfrak{g}[1], q, \omega^{\mathfrak{g}[1]})$, source $(T[1]X, d_X)$. Consider $M = \text{Smaps}(T_x[1]X, \mathfrak{g}[1])$ so that (locally)

$$\text{Smaps}(T[1]X, \mathfrak{g}[1]) = \text{Smaps}(X, \text{Smaps}(T_x[1]X, \mathfrak{g}[1]))$$

M is a finite-dimensional presymplectic manifold. Coordinates ψ^A :

$$\begin{aligned}\widehat{\sigma}^*(\xi^a) &= \xi^a + e_\mu^a \theta^\mu + \xi_{\mu\nu}^a \theta^\mu \theta^\nu + \dots, \\ \widehat{\sigma}^*(\rho^{ab}) &= \rho^{ab} + \omega_\mu^{ab} \theta^\mu + \rho_{\mu\nu}^{ab} \theta^\mu \theta^\nu + \dots,\end{aligned}$$

form-degree k components carry ghost degree $1 - k$. Presymplectic structure:

$$\omega^M = \int d^n \theta \omega_{AB}^{\mathfrak{g}[1]}(\psi(\theta)) d\psi^A(\theta) \wedge d\psi^B(\theta),$$

Proposition: ω^M is regular provided e_μ^a is invertible.

Proof: consider submanifold $M_0 \subset M$:

$$\xi^a = 0, \quad \overset{2}{\xi}_{\mu\nu}^a = 0, \quad \dots, \quad \overset{n}{\xi}_{\mu_1 \dots \mu_n}^a = 0$$

Consider ω^M at a given $p \in M_0$. Changing the basis in $T_x X$ one can assume $e_\mu^a = \delta_\mu^a$ and one gets (from now on $n = 4$):

$$\omega_p^M = de_c^b \wedge d\overset{2}{\rho}_b^c + d\xi^b \wedge d\overset{3}{\rho}_b + d\rho^{ab} \wedge d\overset{3}{\xi}_{ab} + d\omega_c^{ab} \wedge d\overset{2}{\xi}_{ab}^c$$

where $\overset{2}{\rho}_b^c$, $\overset{3}{\rho}_b$, $\overset{3}{\xi}_{ab}$, and $\overset{2}{\xi}_{ab}^c$ parameterize the following components:

$$\overset{2}{\rho}_{bd}^c, \quad \overset{3}{\rho}_{bcd}, \quad \overset{3}{\xi}_{abc}^c, \quad \overset{2}{\xi}_{ab}^c.$$

Spectrum of coordinates required for minimal BV formulation of GR. The rank can't drop off M_0 .

Consider the following distribution on $\mathfrak{g}[1]$:

$$X_a^4 = \xi^{(4)} \frac{\partial}{\partial \xi^a}, \quad X_{ab}^3 = \xi_a^{(3)} \frac{\partial}{\partial \xi^b} + \xi_b^{(3)} \frac{\partial}{\partial \xi^a}$$

$$Y_{ab}^4 = \xi^{(4)} \frac{\partial}{\partial \rho^{ab}}, \quad Y_{abc}^3 = \xi_a^{(3)} \frac{\partial}{\partial \rho^{bc}} + \xi_b^{(3)} \frac{\partial}{\partial \rho^{ac}}, \quad Y_{abcd}^2 = \xi_{ab}^{(2)} \frac{\partial}{\partial \rho^{cd}} + \dots,$$

where ... symmetrization in ac and bd and

$$\xi_{a_1 \dots a_{4-k}}^{(k)} = \frac{1}{k!} \epsilon_{a_1 \dots a_{4-k} a_{4-k+1} \dots a_4} \xi^{a_{4-k+1}} \dots \xi^{a_4}$$

. All these are in the kernel of $\omega^{\mathfrak{g}[1]}$ and commute to one another.

Consider natural prolongation to M

$$\widehat{X}\psi^A(\theta) = X^A(\psi(\theta))$$

At M_0 , the distribution determined by \widehat{X} and \widehat{Y} coincides with the $Ker(\omega^M)$. Because the dimension of the distribution can't drop off M_0 it holds everywhere. **We've proved regularity!**

Presymplectic BV for Cartan-Weyl

The above shows that (S^{BV}, s, ω^{BV}) gives presymplectic BV.

N can be realized as an explicit submanifold, e.g. by zero locus of:

$${}^4\xi^a|, \quad {}^4\rho^{ab|}, \quad {}^3\xi^{a|\mu} e_\mu^b + (ab), \quad {}^3\rho^{ab|\mu} e_\mu^c + (ac), \quad {}^2\rho^{ab|\mu\nu} e_\mu^c e_\nu^d + (ac)(bd),$$

where e.g. ${}^3\xi^{a|\mu}$ stand for ${}^3\xi_{\nu\rho\sigma}^a \epsilon^{\mu\nu\rho\sigma}$.

In particular, coordinates e_μ^a, ω_{ab}^μ are not restricted so that S^{BV} restricts to the Cartan-Weyl action $S[e, \omega]$ when nonzero degree coordinates are set to zero. Together with:

- (i) S_N^{BV} satisfies master equation on N
- (ii) S_N^{BV} is proper (easy to prove by linearization).

this shows that we indeed arrived at a proper BV formulation of Einstein gravity.

Origin of the target space structures

Start with metric gravity: metric g^{ab} , diffeomorphism ghosts ξ^a and their canonically conjugate antifields g_{ab}^* and ξ_a^* . Underlying bundle $F \times X \rightarrow X$. The BV-BRST complex is given by local horizontal forms on the associated jet-bundle $J_X(F)$ equipped with the BRST differential s and the horizontal differential d_h .

The standard BV symplectic structure:

$$\omega^{sBV} = (dx)^n (d_v g^{ab} \wedge d_v g_{ab}^* + d_v \xi^a \wedge d_v \xi_a^*), \quad \text{gh}(\omega^{sBV}) = -1$$

The BRST differential is an evolutionary vector field satisfying

$$i_s \omega^{sBV} = d_v L^{sBV} + d_h(\cdot),$$

where L^{sBV} is the integrand of the BV master action.

Complete ω^{sBV} to a cocycle of the total BRST differential $\tilde{s} = d_h + s$:

$$\omega^{tBV} = \omega^{sBV} + \omega_{n-1}^{sBV} + \dots, \quad L_{\tilde{s}} \omega^{tBV} = 0$$

ω_k^{sBV} of horizontal form degree k and $\text{gh}(\omega_k^{sBV}) = n - 1 - k$. Related descent forms studied recently [Sharapov 2016](#).

Equivalence of total BRST complexes: elimination of contractible pairs for $\tilde{s} = d_h + s$.

Parent formulation: AKSZ sigma model with the target being total BRST complex. [Barnich, M.G. 2010](#)

Elimination of contractible pairs corresponds to elimination of generalized auxiliary fields in the parent formulation i.e. leads to equivalent parent formulation.

In the case of GR one can eliminate: antifields and their derivatives together with (derivatives) of equations of motion as well as some components of (derivatives) of metric and ghosts. Finally,

$$q\xi^a = \xi^a{}_c \xi^c, \quad q\rho^{ab} = \rho^a{}_c \rho^{cb} + \lambda \xi^a \xi^b + \xi^c \xi^d W_{cd}^{ab}, \quad \dots,$$

Minimal BRST complex for gravity. *Have been independently found by many authors. Mention R. Stora, F. Brandt*

Gives minimal AKSZ formulation (BV extension of unfolded formulation).

As a cocycle of \tilde{s} , the total symplectic structure ω^{tBV} determines a respective cocycle of q in the minimal BRST complex:

$$\omega^{\mathcal{E}} = \epsilon_{abcd} \xi^a d\xi^b d\rho^{cd}.$$

The finite-dimensional $(\mathfrak{g}[1], q, \omega^{\mathfrak{g}[1]})$ is arrived at by factoring out W -coordinates.

BV extension of the intrinsic Lagrangian construction *M.G. 2016*

BFV phase space from presymplectic AKSZ

Given an AKSZ model with source $T[1](\Sigma \times \mathbb{R}^1)$, consider AKSZ model with source $T[1]\Sigma$. This will give BRST charge and the Poisson bracket of the associated BFV formulation *M.G. Damgaard 2000, Barnich, M.G. 2003*.

The same for presymplectic AKSZ gravity:
manifold $M_H = \text{Smaps}(T_x[1]X, \mathfrak{g}[1])$, presymplectic structure ω^{M_H} and Hamiltonian potential \mathcal{H}_H .

Submanifold M_H^0 :

$$\xi^a = 0, \quad \xi_{ij}^a = 0, \dots, \quad \xi_{i_1 \dots i_{n-1}}^a = 0$$

At a given point of M_H^0

$$\omega_p^{M_H} = de_j^i \wedge d\omega_i^{0j} + de_j^0 \wedge d\omega^j + d\xi^j \wedge d\rho_j^2 + d\xi^0 \wedge d\rho^2 + d\rho^{k0} \wedge d\xi_k^2 + d\rho^{kj} \wedge$$

Correct spectrum for BFV phase space! where $\omega^j, \rho_j^2, \rho^2, \xi_k^2$ parameterize the following components: $\rho_{bd}^{2cd}, \rho_{bcd}^{3cd}, \xi_{abc}^3, \xi_{ab}^2$.

However, M^H is not a regular symplectic manifold and a suitable symplectic quotient is not so easy to define.

Nevertheless there exists a symplectic submanifold $N_H \subset M_H$ which is a BFV phase space (more precisely the version proposed recently by [Cattaneo, Canepa, Schiavina 2020](#))

How the complete BFV formulation is recovered on N_H requires further study.

Conclusions and outlook

- Presymplectic AKSZ form of gravity naturally encodes full-scale BV formulation through presymplectic BV
- Presymplectic BV can be employed just like usual BV. No need to take symplectic quotient explicitly
- Can be identified as a subsector of the parent formulation associated to the minimal BRST complex. The presymplectic structure originates as a homotopy transfer of the descent completion of the BV symplectic structure

- The approach extends to generic gauge theories. The difference is that for non diffeomorphisms invariant systems one arrives at Q -bundle equipped with presymplectic structure rather than presymplectic AKSZ. Presymplectic extension of the parent formulation *Barnich M.G. 2010, M.G. 2012, Kotov M.G. 2019*
- Presymplectic AKSZ naturally reproduces the main structures of BFV formulation. Useful in studying boundary behaviour. Requires further study because the BFV-AKSZ presymplectic structure is not regular.