

The antifield-BRST approach to (gauge) field theories: an overview

Marc Henneaux

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Program “Higher Structures and Field Theory”

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This lecture is a review of the BRST formulation of gauge theories with an emphasis on its algebraic properties that have a direct connection to the subject of this meeting.

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Starting point : action $S_0[\phi^i]$

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Starting point : action $S_0[\phi^i]$

possessing gauge symmetries $\delta_\lambda \phi^i$,

$$\frac{\delta S_0}{\delta \phi^i} \delta_\lambda \phi^i = 0.$$

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(De Witt's condensed notations used.)

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What is the structure of all the gauge symmetries?

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What is the structure of all the gauge symmetries?

It is an infinite-dimensional Lie algebra, but it contains a lot of
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(De Witt's condensed notations used.)

What is the structure of all the gauge symmetries?

It is an infinite-dimensional Lie algebra, but it contains a lot of
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Economical descriptions not involving this excess luggage
usually go beyond the Lie algebra framework.

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The following transformations,

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The following transformations,

$$\delta_{\mu}\phi^i = \mu^{ij} \frac{\delta S_0}{\delta \phi^j}, \quad \mu^{ij} = -\mu^{ji}$$

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Why are they trivial?

They are present for any action and imply no restriction on S_0 (no ‘Noether identities’).

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$$\delta_{\mu}\phi^i = \mu^{ij} \frac{\delta S_0}{\delta \phi^j}, \quad \mu^{ij} = -\mu^{ji}$$

clearly leave the action invariant but are “trivial gauge symmetries”.

Why are they trivial?

They are present for any action and imply no restriction on S_0 (no ‘Noether identities’).

They vanish on-shell and so have a trivial action on the space of solutions.

Trivial redefinitions

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If $\delta_\lambda \phi^i = \lambda^i(\phi)$ is a gauge transformation ($\lambda^i(\phi) \frac{\delta S_0}{\delta \phi^j} = 0$),

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If $\delta_\lambda \phi^i = \lambda^i(\phi)$ is a gauge transformation ($\lambda^i(\phi) \frac{\delta S_0}{\delta \phi^j} = 0$),

then $\delta_{k\lambda} \phi^i = k(\phi) \lambda^i(\phi)$ is also a gauge transformation since

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$$\delta_{k\lambda} S_0 = k(\phi) \lambda^i(\phi) \frac{\delta S_0}{\delta \phi^i} = 0$$

(comment on absence of problems with boundary terms).

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$$\delta_{k\lambda} S_0 = k(\phi) \lambda^i(\phi) \frac{\delta S_0}{\delta \phi^j} = 0$$

(comment on absence of problems with boundary terms).

$\lambda^i(\phi)$ and $k(\phi) \lambda^i(\phi)$ should not be regarded as independent gauge transformations (even when $k(\phi)$ depends explicitly on the fields)

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then $\delta_{k\lambda} \phi^i = k(\phi) \lambda^i(\phi)$ is also a gauge transformation since

$$\delta_{k\lambda} S_0 = k(\phi) \lambda^i(\phi) \frac{\delta S_0}{\delta \phi^i} = 0$$

(comment on absence of problems with boundary terms).

$\lambda^i(\phi)$ and $k(\phi) \lambda^i(\phi)$ should not be regarded as independent gauge transformations (even when $k(\phi)$ depends explicitly on the fields)

since they lead to the same Noether identity.

Generating set of gauge transformations

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The gauge transformations are then described by a smaller “generating set”, which contains all the relevant information :

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$$\delta_\epsilon \phi^i = R_\alpha^i \epsilon^\alpha$$

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The gauge transformations are then described by a smaller “generating set”, which contains all the relevant information :

$$\delta_{\epsilon}\phi^i = R_{\alpha}^i \epsilon^{\alpha}$$

The set is a generating set if it yields all independent Noether identities, i.e.,

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$$\frac{\delta S_0}{\delta \phi^i} \delta \phi^i = 0 \quad \Rightarrow \quad \delta \phi^i = \lambda^\alpha R_\alpha^i + M^{ij} \frac{\delta S_0}{\delta \phi^j}$$

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for some λ^α and $M^{ij} = -M^{ji}$, which might depend on the fields.

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The gauge transformations in the “generating set” need not be “irreducible”, i.e., there can be non trivial choices of $\epsilon^\alpha = k^A Z_A^\alpha$ that lead to trivial gauge transformations,

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$$Z_A^\alpha R_\alpha^i = N_A^{ij} \frac{\delta S_0}{\delta \phi^j}, \quad N_A^{ij} = -N_A^{ji}$$

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$$Z_A^\alpha R_\alpha^i = N_A^{ij} \frac{\delta S_0}{\delta \phi^j}, \quad N_A^{ij} = -N_A^{ji}$$

These Z 's themselves must be complete, but they might also be redundant ...

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and there might be redundancy of the redundancy etc

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The generating sets need NOT form a subalgebra of the algebra of all gauge transformations.

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Conclusions

The generating sets need NOT form a subalgebra of the algebra of all gauge transformations.

One has :

$$R_\alpha^i \frac{\delta R_\beta^j}{\delta \phi^i} - R_\beta^i \frac{\delta R_\alpha^j}{\delta \phi^i} = C^\gamma_{\alpha\beta} R_\gamma^j + M_{\alpha\beta}^{jk} \frac{\delta S_0}{\delta \phi^k}$$

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where $C^\gamma_{\alpha\beta}$ and $M_{\alpha\beta}^{jk}$ can depend on the fields ϕ^i .

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where $C^\gamma_{\alpha\beta}$ and $M_{\alpha\beta}^{jk}$ can depend on the fields ϕ^i .

Furthermore, when there is redundancy, there is some ambiguity in the “structure functions” $C^\gamma_{\alpha\beta}$, namely

$$C^\gamma_{\alpha\beta} \rightarrow C^\gamma_{\alpha\beta} + D_{\alpha\beta}^A Z_A^\gamma$$

(with appropriate redefinition of $M_{\alpha\beta}^{jk}$).

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When $M_{\alpha\beta}^{jk} = 0$, one says that the “algebra closes off-shell”, but one should realize that it is really the chosen generating set that closes off-shell (the algebra always does).

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The structure functions $C^{\gamma}_{\alpha\beta}$ and $M_{\alpha\beta}^{jk}$ are subject to identities that follow from the Jacobi identity for the commutators of gauge transformations $[[\delta_1, \delta_2], \delta_3] + \text{“cyclic”} = 0$.

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Even though the gauge transformations form a Lie algebra, these do NOT necessarily take the familiar form

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Even though the gauge transformations form a Lie algebra, these do NOT necessarily take the familiar form

$$C^{\rho}_{\alpha\beta} C^{\sigma}_{\rho\gamma} + C^{\rho}_{\beta\gamma} C^{\sigma}_{\rho\alpha} + C^{\rho}_{\gamma\alpha} C^{\sigma}_{\rho\beta} = 0$$

(not always true)

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The structure functions $C^{\gamma}_{\alpha\beta}$ and $M_{\alpha\beta}^{jk}$ are subject to identities that follow from the Jacobi identity for the commutators of gauge transformations $[[\delta_1, \delta_2], \delta_3] + \text{“cyclic”} = 0$.

Even though the gauge transformations form a Lie algebra, these do NOT necessarily take the familiar form

$$C^{\rho}_{\alpha\beta} C^{\sigma}_{\rho\gamma} + C^{\rho}_{\beta\gamma} C^{\sigma}_{\rho\alpha} + C^{\rho}_{\gamma\alpha} C^{\sigma}_{\rho\beta} = 0$$

(not always true)

since $C^{\gamma}_{\alpha\beta}$ may depend on the fields ϕ^i and $M_{\alpha\beta}^{jk}$ may not vanish.

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One finds instead

$$\left(R_{\gamma}^j \frac{\delta C^{\delta}{}_{\alpha\beta}}{\delta \phi^j} + C^{\rho}{}_{\alpha\beta} C^{\delta}{}_{\rho\gamma} \right) R_{\delta}^i + \text{cyclic}(\alpha, \beta, \gamma) \approx 0$$

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introducing new, higher order, structure functions $T_{\alpha\beta\gamma}^A$ and $T_{\alpha\beta\gamma}^{\delta j}$.

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These new structure functions, in turn, are subject to further identities that follow from their definition, which introduces yet higher order structure functions.

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These new structure functions, in turn, are subject to further identities that follow from their definition, which introduces yet higher order structure functions.

In general, one gets a recursive family of structure functions of increasing order.

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MAIN MESSAGE :

All these structure functions, as well as the identities that they fulfill, can be economically encoded in the BRST formulation.

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In general, one gets a recursive family of structure functions of increasing order.

MAIN MESSAGE :

All these structure functions, as well as the identities that they fulfill, can be economically encoded in the BRST formulation.

Furthermore, the ambiguity in the structure functions (starting from the R_α^i 's themselves) can be very easily accounted for.

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I will follow the BV formulation of BRST theory, which relies on the introduction of the antifields and of the antibracket along the lines pioneered by Zinn-Justin.

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My purpose in this lecture is to explain this “main message”.

I will follow the BV formulation of BRST theory, which relies on the introduction of the antifields and of the antibracket along the lines pioneered by Zinn-Justin.

A central point in my presentation will be the homological understanding of the role played by the antifields, which were related after the work of BV to the key “Koszul-Tate resolution” associated with the equations of motion.

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A central point in my presentation will be the homological understanding of the role played by the antifields, which were related after the work of BV to the key “Koszul-Tate resolution” associated with the equations of motion.

The recursive construction leading to the higher order structure functions could then be related, in turn, to “homological perturbation theory” (and “strong homotopy Lie algebras L_∞ ”)

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An interesting case occurs when the R_α^i 's are independent, the $C^\gamma_{\alpha\beta}$'s do not depend on the fields and the $M_{\alpha\beta}^{jk}$'s vanish.

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An interesting case occurs when the R_α^i 's are independent, the $C^\gamma_{\alpha\beta}$'s do not depend on the fields and the $M_{\alpha\beta}^{jk}$'s vanish.

The generating set defines then a true Lie algebra, and the higher order structure functions (besides the $C^\gamma_{\alpha\beta}$'s which then obey the standard Jacobi identity) vanish.

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Another interesting case arises when the $C^\gamma_{\alpha\beta}$'s do not depend on the fields, the $M_{\alpha\beta}^{jk}$'s vanish, but the R_α^i 's are not independent, with Z 's that are constants.

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In that case, the standard form of the Jacobi identity may not hold and one might have instead,

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$$C^\rho_{\alpha\beta} C^\sigma_{\rho\gamma} + C^\rho_{\beta\gamma} C^\sigma_{\rho\alpha} + C^\rho_{\gamma\alpha} C^\sigma_{\rho\beta} = F^A_{\alpha\beta\delta} Z_A^\sigma$$

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for some $F^A_{\alpha\beta\delta}$.

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It is generated in the antibracket by the solution S of the “master equation”,

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It is generated in the antibracket by the solution S of the “master equation”,

$$sF = (S, F) \\ S = -\frac{1}{4} \int d^n x F_a^{\mu\nu} F_{\mu\nu}^a + \int d^n x A_a^{*\mu} sA_\mu^a + \int d^n x C_a^* sC^a,$$

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It is generated in the antibracket by the solution S of the “master equation”,

$$sF = (S, F) \\ S = -\frac{1}{4} \int d^n x F_a^{\mu\nu} F_{\mu\nu}^a + \int d^n x A_a^{*\mu} sA_\mu^a + \int d^n x C_a^* sC^a,$$

and nilpotency of s is equivalent to the “master equation”,

$$s^2 = 0 \Leftrightarrow (S, S) = 0.$$

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$A_a^{*\mu}$ and C_a^* are the “antifields”.

Antifields were originally introduced by Zinn-Justin in his seminal work on the renormalization of gauge theories, as sources coupled to the BRST variations of the fields.

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This was motivated by the desire to control how the nonlinear BRST symmetry passes through the renormalization process.

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A different interpretation of the antifields can be developed.

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A different interpretation of the antifields can be developed.

This interpretation has cohomological origins and views the antifields as the generators of a differential complex that implements the gauge invariant equations of motion in cohomology.

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$A_a^{*\mu}$ and C_a^* are the “antifields”.

Antifields were originally introduced by Zinn-Justin in his seminal work on the renormalization of gauge theories, as sources coupled to the BRST variations of the fields.

This was motivated by the desire to control how the nonlinear BRST symmetry passes through the renormalization process.

A different interpretation of the antifields can be developed.

This interpretation has cohomological origins and views the antifields as the generators of a differential complex that implements the gauge invariant equations of motion in cohomology.

This different point of view turns out to be crucial for understanding the BRST construction and computing the BRST cohomology.

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The phase space Π of a gauge theory can be covariantly described as the space of solutions to the equations of motion modulo the gauge transformations.

The equations of motion define a “surface” in the space J of all histories, which is called the “stationary surface” and denoted by Σ .

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$C^\infty(\Sigma)$ is the space of smooth functions on that surface.

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$C^\infty(\Sigma)$ is the space of smooth functions on that surface.

Formally, Π is the quotient space $\Pi = \Sigma/\mathcal{O}$ of the stationary surface Σ by the gauge orbits \mathcal{O} generated by the gauge transformations.

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[For local objects, jet space formalism can be used to put these considerations on a firmer footing.]

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The observables are the functions on Π .

This description of the observables involves two steps :

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The observables are the functions on Π .

This description of the observables involves two steps :

(1) Restriction to the stationary surface ;

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The observables are the functions on Π .

This description of the observables involves two steps :

- (1) Restriction to the stationary surface ;
- (2) Implementation of the gauge invariance condition on Σ .

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- (1) Restriction to the stationary surface ;
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The BRST differential provides a cohomological formulation of $C^\infty(\Pi)$ at ghost number zero, $H^0(s) = \{Observables\}$.

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To each of the steps (1), (2) corresponds a separate differential.

Both differentials appear in s .

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To exhibit this property, it is useful to introduce the antifield number,

	puregh	antifd	gh
A_{μ}^a	0	0	0
C^a	1	0	1
$A_a^{*\mu}$	0	1	-1
C_a^*	0	2	-2

Pure ghost number, antifield number and $gh \equiv \text{puregh} - \text{antifd}$ ("total ghost number"), for the different field types

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One has $s = \delta + \gamma$, with $\text{antifd}(\delta) = -1$ and $\text{antifd}(\gamma) = 0$

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Explicitly, $\delta A_\mu^a = 0$, $\delta C^a = 0$, $\delta A_a^{*\mu} = D_\nu F_a^{\nu\mu}$, $\delta C_a^* = D_\mu A_a^{*\mu}$

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and $\gamma A_\mu^a = D_\mu C^a$, $\gamma C^a = -\frac{1}{2} f_{bc}^a C^b C^c$, $\gamma A_a^{*\mu} = f_{ac}^b A_b^{*\mu} C^c$,
 $\gamma C_a^* = f_{ac}^b C_b^* C^c$.

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 $\gamma C_a^* = f_{ac}^b C_b^* C^c$.

Nilpotency of s implies $\delta^2 = 0$, $\delta\gamma + \gamma\delta = 0$, $\gamma^2 = 0$.

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The differential δ is called the “Koszul-Tate differential” because it is associated with the Koszul-Tate resolution of the algebra of functions on the stationary surface (first step),

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The differential δ is called the “Koszul-Tate differential” because it is associated with the Koszul-Tate resolution of the algebra of functions on the stationary surface (first step),

in the sense that $H_m \equiv \left(\frac{\text{Ker} \delta}{\text{Im} \delta} \right)_m = 0$ for $m > 0$ and $H_0(\delta) = C^\infty(\Sigma)$.

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The differential γ is called the “exterior derivative along the gauge orbits” and implements the second (gauge invariance) condition, so that $H^0(\gamma, C^\infty(\Sigma)) = \{\text{Observables}\}$.

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This second aspect is well appreciated (Chevalley-Eilenberg differential and “Lie algebra cohomology” in the relevant representation space).

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Furthermore, it is also clear that $H^0(s) \simeq H^0(H^0(\gamma), H_0(\delta))$ (standard spectral sequence argument).

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We shall for this reason only focus here on the Koszul-Tate differential δ .

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$$f \in \mathcal{N} \Leftrightarrow f = k_\mu^a D_\nu F_a^{\mu\nu} + k_\mu^{a\rho} \partial_\rho D_\nu F_a^{\mu\nu} + k_\mu^{a\rho\sigma} \partial_\sigma \partial_\rho D_\nu F_a^{\mu\nu} + \dots$$

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for some smooth coefficients k 's.

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But this is exactly equivalent to $f = \delta h$

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$$f \in \mathcal{N} \Leftrightarrow f = k_\mu^a D_\nu F_a^{\mu\nu} + k_\mu^{a\rho} \partial_\rho D_\nu F_a^{\mu\nu} + k_\mu^{a\rho\sigma} \partial_\sigma \partial_\rho D_\nu F_a^{\mu\nu} + \dots$$

for some smooth coefficients k 's.

But this is exactly equivalent to $f = \delta h$

with

$$h = k_\mu^a A_a^{*\mu} + k_\mu^{a\rho} \partial_\rho A_a^{*\mu} + k_\mu^{a\rho\sigma} \partial_\sigma \partial_\rho A_a^{*\mu} + \dots$$

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Thus $(\text{Im}\delta)_0 = \mathcal{N}$

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Thus $(\text{Im}\delta)_0 = \mathcal{N}$

and therefore $H_0(\delta) = C^\infty(\Sigma)$.

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Thus $(\text{Im}\delta)_0 = \mathcal{N}$

and therefore $H_0(\delta) = C^\infty(\Sigma)$.

Is there (co)homology at other values of the antifield number?

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At antifield number 1, one finds that $D_\mu A_a^{*\mu}$ is a cycle, $\delta D_\mu A_a^{*\mu} = 0$ because of the Noether identity $D_\mu D_\nu F_a^{\mu\nu} = 0$.

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The antifields C_a^* kill these (otherwise non-trivial) cycles, so that $H_1(\delta) = 0$.

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The antifields C_a^* kill these (otherwise non-trivial) cycles, so that $H_1(\delta) = 0$.

Indeed,

$$D_\mu A_a^{*\mu} = \delta C_a^*.$$

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One can show that similarly,

$$H_m(\delta) = 0, \quad (m \geq 1).$$

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One can show that similarly,

$$H_m(\delta) = 0, \quad (m \geq 1).$$

(If the gauge transformations were reducible, one would need “ghosts of ghosts” and on the Koszul-Tate side, “antifields for antifields”.)

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Thus, the Koszul-Tate complex provides a resolution of the algebra $C^\infty(\Sigma)$ of smooth functions on the stationary surface.

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Thus, the Koszul-Tate complex provides a resolution of the algebra $C^\infty(\Sigma)$ of smooth functions on the stationary surface.

(If one includes the ghosts, one gets $C^\infty(\Sigma) \otimes \Lambda(C^a, \partial_\mu C^a, \dots)$.)

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The recognition of the antifields as related to a resolution of the stationary surface is key to the formulation of BRST theory beyond Yang-Mills.

(1) When the gauge transformations are reducible, one needs ghosts of ghosts and their conjugate antifields to maintain the resolution property.

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The recognition of the antifields as related to a resolution of the stationary surface is key to the formulation of BRST theory beyond Yang-Mills.

(1) When the gauge transformations are reducible, one needs ghosts of ghosts and their conjugate antifields to maintain the resolution property.

(2) When the gauge transformations are "open" (on-shell closure only), the construction is more elaborate because $\gamma^2 \neq 0$, but $\gamma^2 \approx 0$ (only on-shell). This requires additional terms in s ,

$$s = \delta + \gamma + s_1 + s_2 + \dots$$

to guarantee $s^2 = 0$.

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$$s = \delta + \gamma + s_1 + s_2 + \dots$$

to guarantee $s^2 = 0$.

This is the Batalin-Vilkovisky construction, which works because the Koszul-Tate complex is a resolution.

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To give an idea :

$$(\delta + \gamma + \dots)^2 = \delta^2 + (\delta\gamma + \gamma\delta) + (\gamma^2) + \dots,$$

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To give an idea :

$$\begin{aligned}(\delta + \gamma + \dots)^2 &= \delta^2 + (\delta\gamma + \gamma\delta) + (\gamma^2) + \dots, \\ &= 0 + 0 + (\gamma^2) + \dots.\end{aligned}$$

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To give an idea :

$$(\delta + \gamma + \dots)^2 = \delta^2 + (\delta\gamma + \gamma\delta) + (\gamma^2) + \dots,$$

$$= 0 + 0 + (\gamma^2) + \dots.$$

But one has $\gamma^2 = -\delta s_1 - s_1 \delta$ for some s_1

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and therefore,

$$(\delta + \gamma + s_1 + \dots)^2 = \delta^2 + (\delta\gamma + \gamma\delta) + (\gamma^2 + \delta s_1 + s_1\delta) + \dots$$

$$= 0 + 0 + 0 + \dots.$$

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The procedure continues in the same way at higher antifield number.

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The procedure continues in the same way at higher antifield number.

(Homological perturbation theory).

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If gauge transformations are reducible, δ as defined above is not acyclic and one needs more antifields to recover this property :

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Acyclicity of δ is essential.

If gauge transformations are reducible, δ as defined above is not acyclic and one needs more antifields to recover this property :

$$B_{\mu\nu}, C_\mu, \gamma B_{\mu\nu} = \partial_{[\mu} C_{\nu]}, \delta C^{*\mu} = \partial_\nu B^{*\mu\nu}, \delta \partial_\mu C^{*\mu} = 0$$

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Need to introduce antifield C^* at antifield number -3 such that

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On the ghost side, needs conjugate “ghost of ghosts” C with ghost number $+2$ and such that $\gamma C_\mu = \partial_\mu C$.

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Procedure works and corresponding term in the solution of the master equation is $\sim \int d^n x C^{*\mu} \partial_\mu C$.

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In terms of the solution S of the master equation $(S, S) = 0$,

$$S = S_0 + S_1 + S_2 + \dots$$

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In terms of the solution S of the master equation $(S, S) = 0$,

$$S = S_0 + S_1 + S_2 + \dots$$

with

$$(S_0, S_0) = 0, \quad (S_0, S_1) = 0, \quad 2(S_0, S_2) + (S_1, S_1) = 0, \dots$$

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Acyclicity of δ guarantees the existence of S_2 and of the successive terms.

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Acyclicity of δ guarantees the existence of S_2 and of the successive terms.

For instance, $(S_0, S_1) = 0$ implies $(S_0, (S_1, S_1)) = 0$ from which one infers the existence of S_2 using acyclicity.

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Theorem : different choices of structure functions lead to solutions S of the master equation that are related by a canonical transformation (in the antibracket).

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Antifields were originally introduced by Zinn-Justin as sources coupled to the BRST variations of the fields, in order to control the normalization of quantum gauge fields.

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Antifields were originally introduced by Zinn-Justin as sources coupled to the BRST variations of the fields, in order to control the normalization of quantum gauge fields.

A different interpretation of the antifields can already be developed at the classical level.

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Antifields were originally introduced by Zinn-Justin as sources coupled to the BRST variations of the fields, in order to control the normalization of quantum gauge fields.

A different interpretation of the antifields can already be developed at the classical level.

The antifields can indeed be viewed as the generators of the Koszul-Tate "resolution" that implements the equations of motion in cohomology.

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Finally, locality can be controlled, which leads to interesting developments (local BRST cohomology).

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