

Counting Level-1, Quaternionic Automorphic Representations on G_2

Rahul Dalal

Johns Hopkins

April 15, 2022

Note on technical details

- Anything in gray is a technical detail not relevant to this particular topic
- Anything in orange is background material I will only explain intuitively and imprecisely due to time constraints

Outline

- Background: Quaternionic Automorphic Representations on G_2
- Background: Trace Formulas
- Background: Simple Trace Formula
- The computation
 - The spectral side
 - Stabilization, the geometric side, and some simplifying tricks

Details in [Dal21], *Counting Discrete, Level-1, Quaternionic Automorphic Representations on G_2* , ArXiv preprint

Quaternionic G_2 reps

Question: Can we find nice examples of automorphic representations π that don't correspond to forms which were discovered classically?

- Exceptional groups are good place to look
- Want to find nice class of π_∞ —analogues to modular forms, not Maass forms

Simplest new example: $G = G_2$, π_∞ a **quaternionic discrete series**

- **Quaternionic:** puts a nice differential equation condition on functions, second-best to holomorphic \leftrightarrow simplest possible minimal K -types
- **Discrete series:** Relevance here: studyable with trace formula
- One quaternionic discrete series π_k for each weight $k \geq 2$.

Applications

Where do these come up?

- Fourier coefficients encode information about cubic rings [GGS02]
- Partition functions in certain quantum models of black holes [FGKP18, Chap. 15]
- More in the future?

Main Question

Question: How do we describe the quaternionic- G_2 automorphic representations?

Example: Can we count them with some local conditions?

Answers

We can do both without too much trouble at **level-1**...

- **level-1**: π^∞ has a (necessarily 1d) subspace fixed by hyperspecial K^∞ .

...in terms of compact form G_2^c

- $\cong G_2$ at all finite places, compact at ∞ . In particular, $G_2^c(\mathbb{Z})$ defined.
- V_λ : finite-dimensional rep of $G_2^c(\mathbb{R})$ with highest weight λ , matrix coefficients in $L^2(G_2^c(\mathbb{R}))$.

Notation: β is the highest root of G_2

Formula

Theorem

Let $k > 2$. The number of discrete (equiv. cuspidal) level-1, quaternionic automorphic representations on G_2 of weight k is

$$\dim \left(V_{(k-2)\beta}^{G_2^c(\mathbb{Z})} \right) +$$

{	$\left\lfloor \frac{k}{4} \right\rfloor \left(\left\lfloor \frac{k}{12} \right\rfloor - 1 \right)$	$k \equiv 2 \pmod{12}$
{	$\left\lfloor \frac{k}{4} \right\rfloor \left\lfloor \frac{k}{12} \right\rfloor$	$k \equiv 0, 4, 6, 8, 10 \pmod{12}$
{	$-\left(\left\lfloor \frac{3k-1}{12} \right\rfloor - 1 \right) \left(\left\lfloor \frac{k+1}{12} \right\rfloor - 1 \right)$	$k \equiv 1 \pmod{12}$
{	$-\left(\left\lfloor \frac{3k-1}{12} \right\rfloor - 1 \right) \left\lfloor \frac{k+1}{12} \right\rfloor$	$k \equiv 5, 9 \pmod{12}$
{	$-\left\lfloor \frac{3k-1}{12} \right\rfloor \left\lfloor \frac{k+1}{12} \right\rfloor$	$k \equiv 3, 7, 11 \pmod{12}$

A Jacquet-Langlands-style result

Theorem

Let $k > 2$. If k is even:

- the discrete (equiv. cuspidal) level-1, weight k quaternionic representations of G_2 are the exactly the unramified representations of $G_2(\mathbb{A})$ with infinite component π_k and **Satake parameters coming from** weight $(k-2)\beta$ algebraic modular forms on G_2^c **in addition to** those coming from pairs of classical cuspidal newforms in $\mathcal{S}_{3k-2}(1) \times \mathcal{S}_{k-2}(1)$.

If k is odd:

- such representations of G_2 are the exactly those coming from weight $(k-2)\beta$ algebraic modular forms on G_2^c **except for** those also coming from pairs of classical cuspidal newforms in $\mathcal{S}_{3k-3}(1) \times \mathcal{S}_{k-1}(1)$.

Table

Table: Counts of discrete, quaternionic automorphic representations of level 1 on G_2 .

k	$ Q_k(1) $	k	$ Q_k(1) $	k	$ Q_k(1) $	k	$ Q_k(1) $	k	$ Q_k(1) $
3	0	13	5	23	76	33	478	43	1792
4	0	14	13	24	126	34	610	44	2112
5	0	15	8	25	121	35	637	45	2250
6	1	16	23	26	175	36	807	46	2619
7	0	17	17	27	173	37	849	47	2790
8	2	18	37	28	248	38	1037	48	3233
9	1	19	30	29	250	39	1097	49	3447
10	4	20	56	30	341	40	1332	50	3938
11	1	21	50	31	349	41	1412	51	4201
12	9	22	83	32	460	42	1686	52	4780

Method

First trick to try for studying subreps: look at traces

- Assume for a moment

$$L^2(G(F)\backslash G(\mathbb{A}_F), \chi) = \bigoplus_{\pi \text{ d.a.}} \pi$$

- Then if R is an operator on L^2

$$\text{tr}_{L^2} R = \sum_{\pi \text{ d.a.}} \text{tr}_{\pi} R$$

- Source of R ? **Convolution**: f cmpct. support, smooth/ $G(\mathbb{A})$:

$$f(v) := R_f(v) = \int_{G(\mathbb{A})} f(g)g \cdot v \, dg$$

Test Functions Example

Want: f such that

$$\mathrm{tr}_{L^2}(f) = \#\{G_2\text{-quat, lv. 1, wt. } k\}$$

Idea: $f = \prod_V f_V$ so $\mathrm{tr}_\pi(f) = \prod_V \mathrm{tr}_{\pi_V}(f_V)$

- Find f_∞ so that

$$\mathrm{tr}_{\pi_\infty}(f_\infty) = \mathbf{1}_{\pi_\infty} \text{ is the weight-}k, \text{ quaternionic discrete series}$$

- If K^∞ is a maximal compact in $G_2(\mathbb{A}^\infty)$ note that

$$\mathrm{tr}_{\pi^\infty}(\mathbf{1}_{K^\infty}) = \mathrm{vol}(K^\infty)\mathbf{1}_{\pi^\infty} \text{ is unramified}$$

Therefore, plug in $f = f_\infty \mathbf{1}_{K^\infty}$

Trace Formula

How do we compute $\text{tr}_{L^2}(f)$?

- Tool: Arthur-Selberg trace formula

$$\sum_{\pi \in \mathcal{AR}(G)} m_{\pi} \text{tr}_{\pi}(f) \approx \sum_{\gamma \in [G(F)]} \text{Vol}(G_{\gamma}(F) \backslash G_{\gamma}(\mathbb{A})) \int_{G_{\gamma}(\mathbb{A}) \backslash G(\mathbb{A})} f(g^{-1}\gamma g) dg$$

- Interested in spectral side I_{spec} : averages over aut. reps.
- Try to compute geometric side I_{geom}
 - rational conjugacy classes, volumes of adelic quotients, orbital integrals

Discrete Series

Infinite component **discrete series** \implies make the \approx explicit:

- Discrete series: appear discretely in $L^2(G(F_\infty))$.
- Classified into **L-packets** Π_λ
 - L-packets parameterized by dominant weights λ ($\Pi_\lambda \mapsto \text{inf. char. } \lambda + \rho$)
 - **Regular** when λ is.
 - Π_λ parameterized by K -dominant Weyl-translates of $\lambda + \rho$: **Harish-Chandra parameter**.
- Quaternionic discrete series on G_2
 - $\pi_k \in \Pi_{(k-2)\beta}$
 - Harish-Chandra parameter in chamber adjacent to long compact root
 - Equiv: minimal K -type trivial on one SU_2 -factor

“Simple” trace formula

Theorem ([Art89])

Let G/F be a *cuspidal reductive group* and let Π_λ be a *regular discrete series L-packet*. Let \mathcal{A}_λ be the set of automorphic representations π of G with $\pi_\infty \in \Pi_\lambda$. Then for any compactly supported, smooth test function f on $G(\mathbb{A}^\infty)$

$$\sum_{\pi \in \mathcal{A}_\lambda} \text{tr}_{\pi^\infty} f = \sum_{M \text{ std. Levi}} (-1)^{[G:M]} \frac{|\Omega_M|}{|\Omega_G|} \sum_{\gamma \in [M(F)]_{\text{ell}}} a_\gamma \phi_M^G(\gamma) O_\gamma^{M, \infty}(f_M)$$

- “Conjugacy classes” counted with principle of inclusion-exclusion
- “Volume term”
- “Orbital integral” factored into infinite and finite places

Test Function At Infinity

- Discrete Series π come with **pseudocoefficients** φ_π . For ρ a **standard module**, $\text{tr}_\rho(\varphi_\pi) = \mathbf{1}_{\pi=\rho}$
- η_λ **Euler-Poincaré** function

$$\eta_\lambda = \frac{1}{|\Pi_{\text{disc}}(\lambda)|} \sum_{\pi \in \Pi_\lambda} \varphi_\pi$$

- When λ **regular**, for ρ any unitary representation:
 $\text{tr}_\rho(\eta_\lambda) = |\Pi_{\text{disc}}(\lambda)|^{-1} \mathbf{1}_{\rho \in \Pi_\lambda}$
- Simple trace formula: use Euler-Poincaré's as infinite component of test function: $\eta_\lambda f^\infty$, the above computes spectral side, geometric side harder

This doesn't quite work for us

Problem 1: counts all reps with $\pi_\infty \in \Pi_{(k-2)\beta}$ instead of all with $\pi_\infty = \pi_k$

- **Solution Idea:** Use pseudocoefficient at ∞ instead of EP-function.
- Geometric side doesn't simplify nicely then!
- **Stabilization** resolves this

Problem 2: $(k-2)\beta$ not regular!

- Spectral side may not simplify nicely w/ $f_\infty = \eta_{(k-2)\beta}$ or φ_{π_k} .
- **Solution:** Facts from real representation theory \implies not an issue for specifically φ_{π_k} , i.e., for quaternionic representations

Problem 3: Terms on geometric side explicit but very hard

- **Solution:** Chenevier/Renard have tricks to simplify—level 1

Goal

What we want:

Lemma (Spectral Goal)

Let f^∞ be a compactly supported, smooth test function $G_2(\mathbb{A}^\infty)$. Then, for any weight $k > 2$, the spectral side of Arthur's invariant trace formula simplifies:

$$I_{\text{spec}}(\varphi_{\pi_k} f^\infty) = \sum_{\pi \in \mathcal{AR}_{\text{disc}}(G_2)} \mathbf{1}_{\pi_\infty = \pi_k} \text{tr}_{\pi^\infty}(f^\infty).$$

Step 1: Trace Formula Work

Since φ_{π_k} is **cuspidal**, we still have

$$I_{\text{spec}}(\varphi_{\pi_k} f^\infty) = \sum_{\pi \in \mathcal{AR}_{\text{disc}}(G_2)} \text{tr}_{\pi_\infty}(\varphi_{\pi_k}) \text{tr}_{\pi_\infty}(f^\infty)$$

Problem reduces to

Lemma (Spectral Goal')

Let σ be an arbitrary unitary representation of $G_2(\mathbb{R})$ and weight $k > 2$. Then

$$\text{tr}_\sigma(\varphi_{\pi_k}) = \mathbf{1}_{\sigma=\pi_k}.$$

Fact: Suffices to check on **cohomological representations** of weight $(k-2)\beta$ —classified by Vogan-Zuckerman.

Step 2: Quaternionic case-specific computations

$k > 2 \implies$ only one non-discrete series cohomological rep of weight $(k-2)\beta$: π_{bad}

- The A -packet of π_{bad} is an **Adams-Johnson Packet**: $\{\pi_{\text{bad}}, \pi_k\}$ (computation in [Mun20]).
- Lemma in Adams-Johnson paper $\implies \pi_k$ appears in Grothendieck Group expansion of exactly one member of the A -packet

Conclusion: π_k doesn't appear in Grothendieck Group expansion of $\pi_{\text{bad}} \implies \text{tr}_{\pi_{\text{bad}}}(\varphi_{\pi_k}) = 0$.

Geometric Side: Endoscopy and Stabilization

Goal:

- Invariant terms not good enough—need **stably invariant** instead

How?

- G has **elliptic endoscopic groups** $H \in \mathcal{E}_{\text{ell}}(G)$ if G^{der} simply connected
 - $(H, s, \eta): \hat{H} = Z_{\hat{G}}(s), \eta: {}^L H \hookrightarrow {}^L G$
- f on G has a **transfer** f^H on H
 - **κ -orbital integral** identity locally: $O_{\gamma_G}^{\kappa_H}(f) = SO_{\gamma_H}(f^H)$
- For S_\star stably-invariant:

$$I_\star^G(f) = \sum_{H \in \mathcal{E}_{\text{ell}}(G)} \iota(G, H) S_\star^H(f^H)$$

How to Use

How to compute I_{geom} ?

- $I_{\text{geom}}(f_{\infty} f^{\infty})$ simplifies if f_{∞} linear combination of η_{λ} 's.
- Try: write $I_{\text{geom}}(\varphi_{\pi_0} f^{\infty})$ in terms of $I_{\text{geom}}(\eta_{\lambda} f^{\infty})$'s

Lemma

If $\pi_0 \in \Pi_{\text{disc}}(\lambda)$, φ_{π_0} has the same stable orbital integrals as η_{λ} . Furthermore, all endoscopic transfers $(\varphi_{\pi_0})^H$'s can be taken to be linear combinations of η_{λ} 's.

- Therefore stabilization will help!

Application to G_2

Recall our test function is $f = \varphi_{\pi_\infty} \mathbf{1}_{K^\infty}$.

- **Compute:** For this test function, all endoscopic terms vanish except $H = \mathrm{SL}_2 \times \mathrm{SL}_2 / \pm 1$.
- **Compute:** $I^H(f^H) = S^H(f^H)$ —uses finite component $\mathbf{1}_{K^\infty}$!

Trick: G_2^c the compact form of G_2 . Note:

$$(\eta_\lambda^{G_2^c})^{G_2} = \eta_\lambda^{G_2},$$

so can compare corresponding endoscopic expansions:

$$I^{G_2}(\varphi_{\pi_k} \mathbf{1}_{K^\infty}) = I^{G_2^c}(\eta_{(k-2)\beta}^{G_2^c} \mathbf{1}_{K_{G_2^c}^\infty}) - \frac{1}{2} I^H((\eta_{(k-2)\beta}^{G_2^c})^H \mathbf{1}_{K_H^\infty}) \\ - \frac{1}{2} I^H((\varphi_{\pi_k})^H \mathbf{1}_{K_H^\infty})$$

The G_2^c -term

How do we compute $I^{G_2^c}(\eta_{(k-2)\beta}^{G_2^c} \mathbf{1}_{K_{G_2^c}^\infty})$?

- **Spectrally**: this counts algebraic modular forms on G_2^c of weight $(k-2)\beta$ and level 1.
- $V_{(k-2)\beta}$: finite dimensional rep of G_2^c with that weight
- **Can show**: this count is

$$\dim \left(V_{(k-2)\beta}^{G_2^c(\mathbb{Z})} \right)$$

- A computer can compute—table in appendices to [CR15]

The H -terms: Computing transfers

How do we compute the two I^H terms?

Step 1: compute transfers.

- If ϵ_1, ϵ_2 the fundamental weights of the SL_2 factors in $\mathrm{SL}_2 \times \mathrm{SL}_2 / \pm 1$:

$$\begin{aligned}(\varphi_{\pi_k})^H &= -\eta_{3(k-1)\epsilon_1+(k-1)\epsilon_2}^H + \eta_{(3k-2)\epsilon_1+(k-2)\epsilon_2}^H - \eta_{2(k-1)\epsilon_2}^H \\ (\eta_{(k-2)\beta}^{G_2^c})^H &= \eta_{3(k-1)\epsilon_1+(k-1)\epsilon_2}^H - \eta_{(3k-2)\epsilon_1+(k-2)\epsilon_2}^H - \eta_{2(k-1)\epsilon_2}^H\end{aligned}$$

- Hardest part is the \pm signs, exact formula depends on a lot of choices of positive Weyl chambers, etc.

The H -terms: Modular form interpretation

How do we compute terms of the form $I^H(\eta_\lambda \mathbf{1}_{K_H^\infty})$?

- **Spectrally:** Counting level-1 discrete automorphic representations of a given weight on H
- **Idea:** Up to center details, $H \approx \mathrm{GL}_2 \times \mathrm{GL}_2$ so term \approx counts of pairs of classical modular forms.

Lemma

(vague) The idea works exactly at level 1.

- Proven through various techniques Chenevier and Taïbi developed to do computations on level-1 representations for classical groups.

Quat. Aut. Reps.
○○○

Results
○○○○

Trace Formulas
○○○

Disc.-at- ∞ TF
○○○○

Spectral Side
○○○

Geom. Side
○○○○○
●

Papers Mentioned



James Arthur, *The L^2 -Lefschetz numbers of Hecke operators*, Invent. Math. **97** (1989), no. 2, 257–290. MR 1001841



Gaëtan Chenevier and David Renard, *Level one algebraic cusp forms of classical groups of small rank*, Mem. Amer. Math. Soc. **237** (2015), no. 1121, v+122. MR 3399888



Rahul Dalal, *Counting discrete, level-1, quaternionic automorphic representations on g_2* , 2021.



Philipp Fleig, Henrik P. A. Gustafsson, Axel Kleinschmidt, and Daniel Persson, *Eisenstein series and automorphic representations*, Cambridge Studies in Advanced Mathematics, vol. 176, Cambridge University Press, Cambridge, 2018, With applications in string theory. MR 3793195



Wee Teck Gan, Benedict Gross, and Gordan Savin, *Fourier coefficients of modular forms on G_2* , Duke Math. J. **115** (2002), no. 1, 105–169. MR 1932327



Sam Mundy, *Multiplicity of Eisenstein series in cohomology and applications to gsp_4 and g_2* , 2020.

Contact info: dalal@jhu.edu