

**Connecting Points in Irreducible Stein Spaces  
by Irreducible Analytic Curves**

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# Connecting points in irreducible Stein spaces by irreducible analytic curves

Vâjâitu Viorel

## 1 Introduction

In this paper we are concerned with the geometry of Stein spaces and some consequences in characterization of holomorphic  $q$ -hulls in top degrees. We show:

**Theorem 1** *Any two points  $p, q$  of an irreducible Stein space  $X$  can be connected by an irreducible analytic curve  $C$ . In fact if  $n = \dim X$  there are  $n - 1$  holomorphic functions  $h_1, \dots, h_{n-1} \in \mathcal{O}(X)$  such that  $C = \{h_1 = \dots = h_{n-1} = 0\}$ .*

It will be clear from the proof that this theorem holds for an arbitrary discrete subset of points of  $X$ . Moreover we may allow  $h_1, \dots, h_{n-1}$  to be global holomorphic sections in a given holomorphic line bundle  $L$  on  $X$ .

Note that if  $H^2(X, \mathbf{Z}) \neq 0$  there may be irreducible analytic curves that cannot be given by  $n - 1$  equations. For instance, the famous example of Oka, cited in the book of Range [6, p.239 – 240] works also here.  $X$  is the domain of holomorphy in  $\mathbf{C}^2$  given by  $X = \{(z_1, z_2); 3/4 < z_j < 5/4, j = 1, 2\}$  and  $C = \{z \in X; z_2 - z_1 + 1 = 0\}$ . Then there does not exist a holomorphic function  $f : X \rightarrow \mathbf{C}$  such that  $f^{-1}(0) = C$ .

On the other hand there are examples of non-compact connected two dimensional complex manifolds that do not have complex curves at all (Take a two dimensional complex torus without complex curves and then delete one point).

We mention that a similar version to Theorem 1 (in a weaker form) was earlier proved by Baran in [1], namely; Through an arbitrary discrete sequence of points of a connected Stein space passes a connected complex curve.

Theorem 1 is a straightforward consequence of the following

**Theorem 2** *Let  $X$  be an irreducible Stein space and  $p, q$  two arbitrary points of  $X$ . Then there is  $f \in \mathcal{O}(X)$  such that  $Z_f = \{x \in X \mid f(x) = 0\}$  is an irreducible hypersurface that passes through the points  $p, q$ .*

As an application of the above theorem we prove in sect. 4 a theorem which asserts that the holomorphic  $n$ -hull of compact subsets in a purely  $n$ -dimensional Stein spaces  $X$  is simply obtained by filling the holes of  $K$ , which is the natural  $n$ -dimension extension of Behnke's generalization of Runge's theorem to an open Riemann surface. (See Theorem 3, sect. 4)

The main ideas of this paper stem from the article of Demailly [2] where he produces irreducible hypersurfaces in  $\mathbf{C}^n$  with given singular locus.

## 2 Preliminaries

All complex spaces are reduced with countable topology.

Let  $X$  be a complex space. Then  $\mathcal{O}(X)$  endowed with the topology of compact convergence is a Fréchet space.

Now suppose  $X$  be purely  $n$ -dimensional. We define  $\mathcal{U} :=$  the subset of  $\mathcal{O}(X)$  made of all holomorphic functions  $f$  not identically zero such that

$$(\clubsuit) \quad \dim Z_f \cap \text{Sing}(X) \leq n - 2.$$

Then  $\mathcal{U}$  is a dense open subset of  $\mathcal{O}(X)$ .

( $\clubsuit$ ) allow us to define the multiplicity of  $f$  on the irreducible components of  $Z_f$ . Indeed let  $Z_1$  be an irreducible component of  $Z_f$ . Then  $H := Z_1 \setminus (\text{Sing}(Z_1) \cup \text{Sing}(X))$  is a connected smooth hypersurface of the complex manifold  $M := \text{Reg}(X) \setminus \text{Sing}(Z_1)$ . Locally, at  $a \in H$ ,  $f$  can be written, in suitable coordinates  $(w_1, \dots, w_n)$  of  $M$ , in the form  $f = w_1^m \cdot h$  with  $h(a) \neq 0$ . This  $m$  does not depend on  $a \in H$ , and, by definition, is called the multiplicity of  $f$  along  $Z_1$ .

Fix an arbitrary compact set  $K$  of  $X$ . Define a function  $\mu_K : \mathcal{U} \rightarrow \mathbf{N}$  as follows: For any  $f \in \mathcal{U}$  put

$$\mu_K(f) := \begin{cases} \text{the sum of the multiplicities of } f \text{ on those} \\ \text{irreducible components of } Z_f \text{ that meet } K. \end{cases}$$

Here we show

**Proposition 1** *The map  $\mu_K$  is upper semi-continuous.*

**Proof.** Fix  $f \in \mathcal{U}$ . We prove the semi-continuity in this function.

We replace  $K$  by suitable compact sets  $K_1$  (that avoids the singular locus of  $Z_f$  and the singular locus of  $X$ ) and  $K_2$  (a neighborhood of  $K_1 \cap Z_f$  in  $\text{Reg}(X)$ ) such that

$$\begin{cases} \mu_K(f) = \mu_{K_1}(f) = \mu_{K_2}(f), \\ \mu_K(g) \leq \mu_{K_1}(g) \leq \mu_{K_2}(g) \end{cases}$$

for any  $g$  in a neighborhood of  $f$ .

To achieve this let  $S' := Z_f \cap \text{Sing}(X)$ ,  $S'' = \text{Sing}(Z_f)$  and  $S := S' \cup S''$ . One has  $\dim S \leq n - 2$ . Now let  $x_o \in S \cap K$ . There are neighborhoods  $U$  of  $x_o$  in  $X$ ,  $V$  of  $0 \in \mathbf{C}^n$  and a finite holomorphic map  $\pi : U \rightarrow V$ ,  $\pi(x_o) = 0$ . Let  $(w_1, \dots, w_n)$  be a system of coordinates of  $\mathbf{C}^n$  around  $0$  (we shrink  $U$  and  $V$ , if necessary) such that  $0$  lies isolated in  $\pi(S \cap U) \cap \{w_3 = \dots = w_n = 0\}$ . Choose  $\varepsilon > 0$ ; then  $\eta > 0$ , both small enough such that denoting

$$\begin{cases} W(x_o) = \{w \in V; |w_1(x)|^2 + |w_2(x)|^2 < \varepsilon^2, |w_j(x)| < \eta \text{ for } j > 2\}, \\ T(x_o) = \{w \in V; |w_1(x)|^2 + |w_2(x)|^2 = \varepsilon^2, |w_j(x)| \leq \eta \text{ for } j > 2\} \end{cases}$$

we have  $\pi(S \cap U) \cap T(x_o) = \emptyset$  and the irreducible components of  $Z_f$  that do not meet  $K$ , do not meet  $\overline{U(x_o)} := \pi^{-1}(W(x_o))$ , too. Set  $B(x_o) := \pi^{-1}(T(x_o))$ . Let  $U(x_1), \dots, U(x_p)$  be a covering of  $S \cap K$ . We define

$$K_1 := (K \setminus \bigcup_{1 \leq j \leq p} U(x_j)) \cup \bigcup_{1 \leq j \leq p} B(x_j).$$

Notice that any hypersurface of  $X$  meeting  $U(x_j)$  at point  $a \in U(x_j)$  meets also  $B(x_j)$  (Otherwise the trace of the image of this hypersurface by  $\pi$  on the set

$$\{w \in V; |w_1(x)|^2 + |w_2(x)|^2 < \varepsilon^2, w_j = \pi_j(a), j > 2\}$$

will be a compact analytic set of positive dimension). Thus for any  $g \in \mathcal{U}$  we have  $\mu_K(g) \leq \mu_{K_1}(g)$ . Moreover, by construction  $\mu_K(f) \geq \mu_{K_1}(f)$ ,  $K_1 \cap \text{Sing}(Z_f) = \emptyset$  and  $K_1 \cap Z_f \subset \text{Reg}(X)$ .

Now let  $X'_1, \dots, X'_r$  be the connected components of  $Z_f \setminus \text{Sing}(Z_f)$  that meet  $K_1$  and  $L_j \subset \text{Reg}(X)$ ,  $1 \leq j \leq r$ , mutually disjoint compact neighborhoods of  $X'_j \cap K_1$  in  $X$  such that  $Z_f \cap L_j = X'_j \cap L_j$ . Set

$$K_2 := \bigcup_{1 \leq j \leq r} L_j.$$

Thus  $\mu_{K_2}(f) = \sum_{j=1}^r \mu_{L_j}(f) = \mu_{K_1}(f)$ . Since  $K_2$  is a neighborhood of  $Z_f \cap K_1$  we get

$$\inf_{x \in K_1 \setminus K_2} |f(x)| > 0.$$

Hence  $Z_g \cap K_1 \subset Z_g \cap K_2$  for  $g$  that approaches  $f$ . This implies  $\mu_{K_1}(g) \leq \mu_{K_2}(g) \leq \sum_{j=1}^r \mu_{L_j}(g)$ .

It remains to prove the semicontinuity of  $\mu_{L_j}$  at  $f$ . For the sake of simplicity we drop the index  $j$  and consider  $L$  a compact set of  $\text{Reg}(X)$  such that  $Z_f \cap L = Z' \cap L$  where  $Z'$  is a connected component of  $Z_f \setminus \text{Sing}(Z_f)$ . Let  $P := \{w \in \mathbf{C}^n; |w_j| < 1, 1 \leq j \leq n\}$  the unit polydisc. Since  $Z' \cap L$  is compact there are finitely many charts  $\theta_\nu : U_\nu \rightarrow P$  defined by  $\theta_\nu(x) = (w_1(x), \dots, w_n(x))$ ,  $x \in U_\nu$  such that  $U_\nu$  cover  $Z' \cap L$  and  $Z'$  has the equation  $w_1(x) = 0$ . We assume also  $Z_f \cap U_\nu = Z' \cap U_\nu$ . Let  $\pi_\nu : U_\nu \rightarrow X' \cap U_\nu$  be given in local coordinates by  $(w_1, \dots, w_n) \rightsquigarrow (0, w_2, \dots, w_n)$ . Since  $Z'$  is connected we may take  $\bigcup \pi_\nu(U_\nu)$  connected.

For any couple  $(\nu_1, \nu_2)$  of distinct indices such that  $\pi_{\nu_1}(U_{\nu_1}) \cap \pi_{\nu_2}(U_{\nu_2}) \neq \emptyset$  we choose a point  $x_{\nu_1 \nu_2} \in \pi_{\nu_1}(U_{\nu_1}) \cap \pi_{\nu_2}(U_{\nu_2})$ . Define for  $\varepsilon \in (0, 1)$  the sets

$$\begin{aligned} A_\nu^\varepsilon &= \{x \in U_\nu; |w_j(x)| \leq 1 - \varepsilon, 1 \leq j \leq n\}, \\ B_\nu^\varepsilon &= \{x \in U_\nu; |w_1(x)| < \varepsilon, |w_j(x)| \leq 1 - \varepsilon, 1 < j \leq n\}, \\ C_\nu^\varepsilon &= A_\nu^\varepsilon \setminus B_\nu^\varepsilon. \end{aligned}$$

Now we consider  $\varepsilon > 0$  small enough such that the following conditions hold

- (1)  $x_{\nu_1 \nu_2} \in \pi_{\nu_1}(A_{\nu_1}^\varepsilon) \cap \pi_{\nu_2}(A_{\nu_2}^\varepsilon)$ ;
- (2)  $\pi_{\nu_1}^{-1}(x_{\nu_1 \nu_2}) \cap B_{\nu_1}^\varepsilon \subset A_{\nu_2}^\varepsilon$ ;
- (3)  $A^\varepsilon := \bigcup A_\nu^\varepsilon$  is a compact neighborhood of  $X' \cap L$ .

From (3) and since  $Z_f \cap A^\varepsilon = X' \cap A^\varepsilon$  we deduce  $\mu_L \leq \mu_{A^\varepsilon}$  in a neighborhood of  $f$  in  $\mathcal{O}(X)$  and that

$$\mu_L(f) = \mu_{A^\varepsilon}(f) = \text{the multiplicity } m \text{ of } f \text{ on } X'.$$

The proof will be concluded if we show that  $\mu_{A^\varepsilon}(g) \leq m$  for

$$(4) \quad \sup_{A^\varepsilon} |g - f| < \inf_{C^\varepsilon} |f|$$

where  $C^\varepsilon = \cup C_\nu^\varepsilon$  (Note that  $Z_f \cap C^\varepsilon = \emptyset$ , hence  $\inf_{C^\varepsilon} |f| > 0$ ).

Let  $Y$  be an irreducible component of  $Z_f$  that meets  $A^\varepsilon$ , say, for instance  $Y \cap A_\nu^\varepsilon \neq \emptyset$ . Then inequality (4) implies  $Y \cap A_{\nu_1}^\varepsilon = Y \cap B_{\nu_1}^\varepsilon$ , thus for all  $x \in \pi_{\nu_1}(A_{\nu_1}^\varepsilon)$  the analytic set  $Y \cap B_{\nu_1}^\varepsilon \cap \pi^{-1}(x)$  of the disc  $B_{\nu_1}^\varepsilon \cap \pi^{-1}(x)$  is compact. Consequently the fibres  $Y \cap B_{\nu_1}^\varepsilon \cap \pi^{-1}(x)$  are discrete, and, as  $\dim Y = \dim X' = n - 1$ ,  $\pi_{\nu_1}(Y \cap B_{\nu_1}^\varepsilon)$  is open in  $\pi_{\nu_1}(B_{\nu_1}^\varepsilon) = \pi_{\nu_1}(A_{\nu_1}^\varepsilon)$ . Since  $\pi_{\nu_1}(Y \cap B_{\nu_1}^\varepsilon) = \pi_{\nu_1}(Y \cap A_{\nu_1}^\varepsilon)$  is compact and non empty,  $\pi_{\nu_1}(Y \cap B_{\nu_1}^\varepsilon) = \pi_{\nu_1}(A_{\nu_1}^\varepsilon)$  by connectivity of  $\pi_{\nu_1}(A_{\nu_1}^\varepsilon)$ . (1) and (2) imply that for all  $\nu_2$  with  $\pi_{\nu_1}(A_{\nu_1}^\varepsilon) \cap \pi_{\nu_2}(A_{\nu_2}^\varepsilon) \neq \emptyset$  we have  $Y \cap A_{\nu_2}^\varepsilon \neq \emptyset$ . Hence  $\pi_{\nu_2}(Y \cap B_{\nu_2}^\varepsilon) = \pi_{\nu_2}(A_{\nu_2}^\varepsilon)$  by repeating the same procedure as above. Now as  $\cup \pi_\nu(A_\nu^\varepsilon)$  is connected, we have  $\pi_\nu(Y \cap B_\nu^\varepsilon) = \pi_\nu(A_\nu^\varepsilon)$  for all  $\nu$ .

Now choose  $\nu_o$  an arbitrary index and  $x_o \in \pi_{\nu_o}(A_{\nu_o}^\varepsilon)$ . By the theorem of Rouché and condition (4) the function  $g$  has precisely  $m$  zeroes (counted with multiplicities) in the disc  $B_{\nu_o}^\varepsilon \cap \pi_{\nu_o}^{-1}(x_o)$ . If  $Y_1, \dots, Y_k$  are the irreducible components of  $Z_g$  that meet  $A^\varepsilon$  (hence also  $B_{\nu_o}^\varepsilon \cap \pi_{\nu_o}^{-1}(x_o)$ ) and  $m_1, \dots, m_k$  are the multiplicities of  $g$  on those components, any point of  $Y_j \cap B_{\nu_o}^\varepsilon \cap \pi_{\nu_o}^{-1}(x_o)$ ,  $1 \leq j \leq k$ , contributes by at least  $m_j$  zeroes. Thus

$$\mu_{A^\varepsilon}(g) = m_1 + \dots + m_r \leq m.$$

**Proposition 2** *Let  $f \in \mathcal{U}, K$  a compact set of  $X$  and  $Z_1 = \overline{Z_1}, \dots, Z_t = \overline{Z_t}$  be the irreducible components of  $Z_f$  that meet  $K$ . Then for any open subset  $\Omega$  of  $X$  that meets each  $Z_j$ , the irreducible components of  $Z_g$  that meet  $K$  meet also  $\Omega$  as soon as  $g$  is sufficiently near  $f$ .*

**Proof.** We keep notations as in the proof of Proposition 1 assuming that  $g$  is taken sufficiently near to  $f$ . Thus any irreducible component  $Y$  of  $Z_g$  such that  $Y \cap K \neq \emptyset$  cuts  $L_j$  for  $j = 1$  or  $2, \dots$ , or  $r$ . We choose the sets  $U_\nu$  such that  $\Omega \cap \cup \pi_\nu(U_\nu) \neq \emptyset$ ; this is always possible because  $\text{Reg}(X)$  is connected. Finally consider  $x_o \in \Omega$  and  $\varepsilon > 0$  small enough such that  $x_o$  belongs to some  $B_{\nu_o}^\varepsilon$  with  $\pi_{\nu_o}^{-1}(x_o) \cap B_{\nu_o}^\varepsilon \subset \Omega$ . The proof of the proposition follows.

### 3 The proof of Theorem 2

Let  $f_1, \dots, f_k \in \mathcal{O}(X)$  such that  $\{p, q\} = \{x \in X \mid f_1(x) = \dots = f_k(x) = 0\}$  (In fact we may always take  $k = n$ , but we do not need this). Let  $\pi : X \rightarrow \mathbf{C}^n$  be an almost proper holomorphic map (see [3]). Thus  $\pi$  is surjective and has discrete fibers. Let

$$S := \text{Sing}(X) \cup \{x \in \text{Reg}(X) \mid \text{rank}_x(\pi) \leq n - 1\}.$$

Therefore  $A$  is an analytic subset of  $X$  with  $\dim(S) \leq n - 1$ .

At this moment we break the proof into three steps:

**Step I** Let  $\mathcal{A}$  be the set of all  $(g_1, \dots, g_k) \in \mathcal{O}(X)^k$  such that if we set  $F := \sum_{j=1}^k f_j g_j$  then

$$(\sharp) \quad \dim Z_F \cap S \leq n - 2.$$

Then  $\mathcal{A}$  is  $G_\delta$  and dense in  $\mathcal{O}(X)^k$ .

Indeed, let  $\{Y_s\}$  be all irreducible components of  $S$  of dimension  $n - 1$ . Fix mutually distinct points  $y_s \in Y_s$ ,  $y_s \neq p, q$ . It is obvious that  $\mathcal{A} = \bigcap \mathcal{A}_s$  where  $\mathcal{A}_s := \{(g_1, \dots, g_k) \in \mathcal{O}(X)^k ; \sum_{j=1}^k f_j(y_s)g_j(y_s) \neq 0\}$  are open subsets of  $\mathcal{O}(X)^k$ . Thus  $\mathcal{A}$  is  $G_\delta$ .

Now we check density. Let  $(g_1, \dots, g_k) \in \mathcal{O}(X)^k$  and for  $(a_1, \dots, a_k) \in \mathbf{C}^k$  consider  $F_a := \sum_{j=1}^k f_j(g_j + a_j)$ . Correspondingly define affine maps  $\lambda_s : \mathbf{C}^k \rightarrow \mathbf{C}$  by

$$\lambda_s(a) := \sum_{j=1}^k f_j(y_s)(g_j(y_s) + a_j), \quad a \in \mathbf{C}^k.$$

Then  $\lambda_s$  is surjective. Consequently  $E :=$ the union of all  $\lambda_s^{-1}(0)$  has zero Lebesgue measure in  $\mathbf{C}^k$ . Therefore any  $a \in \mathbf{C}^k \setminus E$  fulfills  $(\sharp)$ , whence the density of  $\mathcal{A}$ .

**Step II** Let  $\mathcal{B}$  be the set of all  $(g_1, \dots, g_k) \in \mathcal{O}(X)^k$  with the following property: If  $F := \sum_{j=1}^k f_j g_j$  then any point  $x \in Z_F \cap \text{Reg}(X)$ ,  $x \neq p, q$  is regular for  $F$ . Then  $\mathcal{B}$  is  $G_\delta$  and dense in  $\mathcal{O}(X)^k$ .

Indeed, let  $M := \text{Reg}(X) \setminus \{p, q\}$  and  $\{L_\nu\}$  a countable covering of  $M$  by compact sets contained in local charts. Then  $\mathcal{B} = \bigcap \mathcal{B}_\nu$  where

$$\mathcal{B}_\nu := \{(g_1, \dots, g_k) \in \mathcal{O}(X)^k ; F := \sum_{j=1}^k f_j g_j \text{ is regular on } Z_F \cap L_\nu\}$$

are open subsets of  $\mathcal{O}(X)^k$ . Hence  $\mathcal{B}$  is  $G_\delta$ .

Note the following simple fact. Let  $M$  be a complex manifold and  $h_1, \dots, h_r \in \mathcal{O}(M)$ . Set  $T = \{t \in \mathbf{C}^r \mid t_1 h_1 + \dots + t_r h_r \text{ has at least one critical point}\}$ . Then  $T$  is measurable in  $\mathbf{C}^r$  as being the image of an analytic subset of  $\mathbf{C}^r \times M$  through the canonical projection  $\mathbf{C}^r \times M \rightarrow \mathbf{C}^r$ .

Now in order to verify density it suffices to check that given  $(g_1, \dots, g_k) \in \mathcal{O}(X)^k$ , the set of all  $a = (a_1, \dots, a_k) \in \mathbf{C}^k$  such that  $F_a := \sum_{j=1}^k f_j(g_j + a_j)$  has a critical point on  $\text{Reg}(X) \setminus Z_{f_j}$  is of Lebesgue measure zero for any  $1 \leq j \leq k$ . Indeed fix  $a_1, a_2, \dots, a_{j-1}, a_{j+1}, \dots, a_k$ . Then  $F_a$  has a critical point on  $\text{Reg}(X) \subset Z_{f_j}$  if and only if  $a_j$  is a critical values of the function

$$-\frac{F_a}{f_j} + a_j = -\frac{1}{f_j} \sum_{s \neq j} f_s(g_s + a_s) - g_j$$

defined on  $\text{Reg}(X) \setminus Z_{f_j}$ . Now the set of all such critical values is negligible (Theorem of Sard) and the conclusion of Step II follows by Fubini.

Fix a point  $x_o \in X$  such that  $\pi(x_o) = 0$  and let  $K_\nu$  be the compact connected component of  $\pi^{-1}(B_\nu)$  that contains  $x_o$ , where  $B_\nu$  is the closed ball of  $\mathbf{C}^n$  with radius  $\nu \in \mathbf{N}$ . Then  $\{K_\nu\}$  is an increasing sequence of compact sets that invades  $X$ .

**Step III** Let  $\mathcal{E}_\nu$  be the set of all  $(g_1, \dots, g_k) \in \mathcal{A} \cap \mathcal{B}$  such that if  $F = \sum_{j=1}^k f_j g_j$ , then  $\mu_{K_\nu}(F) \leq 1$ , i.e. at most one irreducible component of  $Z_F$  does meet  $K_\nu$ , component on which  $F$  has multiplicity 1. Then  $\mathcal{E}_\nu$  is  $G_\delta$  and dense in  $\mathcal{O}(X)^k$ .

That  $\mathcal{E}_\nu$  is  $G_\delta$  follows by standard arguments from Proposition 1.

To show density fix  $(g_1, \dots, g_k) \in \mathcal{O}(X)^k$  and let  $a, b \in \mathbf{C}^k$  be small enough (in the given usual euclidean norm on  $\mathbf{C}^k$ ) such that the functions

$$F_a := \sum_{j=1}^k f_j(g_j + a_j), \quad F_b := \sum_{j=1}^k b_j(g_j + b_j)$$

have a common zero set  $Z_{F_a} \cap Z_{F_b}$  of pure dimension  $n - 2$ ,  $F_a, F_b \in \mathcal{A} \cap \mathcal{B}$ . Note that the analytic sets  $Z_{F_a} \cap A$ ,  $Z_{F_b} \cap A$  have dimensions  $\leq n - 2$ . Further one chooses  $\lambda$  near enough to 1 such that the critical zeros of  $F_a + \lambda F_b$  on  $\text{Reg}(X)$  are contained in  $Z_{F_a} \cap Z_{F_b}$  and  $\dim Y \cap A \leq n - 2$ . Here we put  $Y$  the hypersurface defined by  $F_a + \lambda F_b = 0$ . (Consider  $\lambda$  a regular values of  $-F_a/F_b$  on  $\text{Reg}(X) \setminus Z_{F_b}$  and  $1/\lambda$  regular values of  $-F_b/F_a$  on  $\text{Reg}(X) \setminus Z_{F_a}$ ). Set  $\Gamma = \pi(S \cup Y)$ . Note that  $\Gamma$  is a countable union of locally analytic sets in  $\mathbf{C}^n$  of dimensions  $\leq n - 1$ .

Let  $Y_1, \dots, Y_r$  be the different irreducible components of  $Y$  meeting  $K_\nu$ . Choose on each  $Y_j$  a point  $z_j$ , regular for  $Y$  and  $X$ ,  $z_j \notin S \cup Z_{F_b}$  and  $\pi(z_j) \notin B_\nu$ . Further there are mutually distinct vectors  $v_1, \dots, v_r \in \mathbf{C}^n$  such that denoting by  $H_j$  the hyperplane  $\langle z - \pi(z_j), v_j \rangle = 0$  in  $\mathbf{C}^n$  the following properties hold:

- (5)  $H_j \cap B_\nu = \emptyset$ ,  $\|v_j - \pi(z_j)\| \leq 1$  (Note that  $B_\nu$  is a closed convex subset of  $\mathbf{C}^n$ );
- (6)  $\pi^{-1}(H_j)$  intersects  $Y_j$  transversally at  $z_j$ ;
- (7)  $H_j \not\ni \pi(z_s)$ ,  $\forall s \neq j$ ;
- (8) The subspaces  $H_1 \cap H_j$ ,  $j > 1$ , are mutually disjoint and not contained in  $\Gamma$ .

Further, by (8), select for any  $j > 1$ ,  $x_j \in \pi^{-1}(H_1 \cap H_j)$  such that

- (9)  $\pi(x_j) \notin \Gamma \cup \bigcup_{1 < s \neq j} H_s$ .

Some comments are appropriate here. Roughly speaking the analytic sets  $\pi^{-1}(H_j)$  connect the components  $Y_1, \dots, Y_r$ . Then by deformation of the set  $Y_1 \cup \dots \cup Y_r \cup \pi^{-1}(H_1) \cup \dots \cup \pi^{-1}(H_r)$  we shall obtain an irreducible hypersurface. To accomplish this set for any  $\varepsilon \in \mathbf{C}$ ,

$$G_\varepsilon = \frac{1}{2}(F_a + \lambda F_b) \prod_{j=1}^n \left( 1 - \frac{\langle \pi, v_j \rangle}{\langle \pi(z_j), v_j \rangle} \right) + \varepsilon F_b.$$

We will examine  $Z_{G_\varepsilon}$  near the points  $z_j$ ,  $1 \leq j \leq r$  and  $x_j$ ,  $1 < j \leq r$ . But here  $F_b \neq 0$ ; hence we restrict our consideration to

$$\frac{G_\varepsilon}{F_b} = \frac{1}{2} \cdot \frac{F_a + \lambda F_b}{F_b} \prod_{j=1}^r \left( 1 - \frac{\langle \pi, v_j \rangle}{\langle \pi(z_j), v_j \rangle} \right) + \varepsilon;$$

Now at points  $z_j$ , thanks to (6), we may consider complex coordinates  $(w_1, \dots, w_n)$  such that

$$w_1 = 1 - \frac{\langle \pi, v_j \rangle}{\langle \pi(z_j), v_j \rangle}, \quad w_2 = \frac{1}{2} \cdot \frac{F_a + \lambda F_b}{F_b} \prod_{\substack{1 \leq s \leq r \\ s \neq j}} \left( 1 - \frac{\langle \pi, v_s \rangle}{\langle \pi(z_j), v_s \rangle} \right);$$

at  $x_j$ ,  $j > 1$ , due to (8) and (9), the coordinates  $(w_1, \dots, w_n)$  may be chosen such that

$$w_1 = \frac{1}{2} \cdot \frac{F_a + \lambda F_b}{F_b} \prod_{1 \leq s \leq r} \left( 1 - \frac{\langle \pi, v_s \rangle}{\langle \pi(z_s), v_s \rangle} \right), \quad w_2 = 1 - \frac{\langle \pi, v_1 \rangle}{\langle \pi(z_1), v_1 \rangle}.$$

Let  $D_{z_j}$  (resp.  $D_{x_j}$ ,  $j > 1$ ) be open neighborhoods of  $z_j$  (resp.  $x_j$ ) such that the coordinates  $(w_1, \dots, w_n)$  realize an isomorphism of  $D_{z_j}$  (resp.  $D_{x_j}$ ) onto the polydisc  $P_\delta := \{w \in \mathbf{C}^n; |w_j| < \delta, 1 \leq j \leq n\}$ . In  $D_{z_j}$  and  $D_{x_j}$ ,  $Z_{G_\varepsilon}$  has the equation  $w_1 w_2 + \varepsilon = 0$ ; hence if  $0 < |\varepsilon| < \delta^2$ ,  $Z_{G_\varepsilon} \cap D_{z_j}$  and  $Z_{G_\varepsilon} \cap D_{x_j}$  are irreducible. On the other hand  $Z_{G_o}$  has equation  $w_1 w_2 = 0$ , where  $w_1 = 0$  represents  $\pi^{-1}(H_j)$ , and  $w_2 = 0$  gives the hypersurface  $Y$  (in  $D_{z_j}$ ) or  $\pi^{-1}(H_1)$  (in  $D_{x_j}$ ).

Thus one can choose compact sets  $L_j$  of  $D_{z_j}$  and  $M_j$  of  $D_{x_j}$ ,  $j > 1$  such that

$$Z_{G_o} \cap L_j = \pi^{-1}(H_j) \cap L_j \quad \text{and} \quad \pi^{-1}(H_j) \cap \overset{\circ}{L}_j \neq \emptyset,$$

$$Z_{G_o} \cap M_j = \pi^{-1}(H_1) \cap M_j \quad \text{and} \quad \pi^{-1}(H_1) \cap \overset{\circ}{M}_j \neq \emptyset,$$

and it is clear that the hyperbola  $w_1 w_2 + \varepsilon = 0$  cut  $L_j$  or  $M_j$ , as soon as  $\varepsilon$  is small enough.

We now use three times Proposition 2 with  $f = G_o$ ,  $g = G_\varepsilon$  and  $K$ ,  $\{Z_1, \dots, Z_r\}$  and  $U$  are respectively replaced by

$$(*_1) \quad K_\nu, \{Y_1, \dots, Y_r\}, \bigcup_{1 \leq j \leq r} D_{z_j};$$

$$(*_2) \quad L_j, \{\pi^{-1}(H_j)\}, D_{x_j} \quad \text{for } j > 1;$$

$$(*_3) \quad M_j, \{\pi^{-1}(H_1)\}, D_{z_1} \quad \text{for } j > 1;$$

If  $\varepsilon \neq 0$  is small enough and if  $T$  is an irreducible component of  $Z_{G_\varepsilon}$  that meets  $K_\nu$  we deduce the following

$$(10) \quad T \text{ meets } \bigcup_{j=1}^r D_{z_j}.$$

We shall show that  $T \cap D_{z_1} \neq \emptyset$ . If  $T \cap D_{z_j} \neq \emptyset$  for some  $j > 1$ , by  $(*_2)$

$$T \cap L_j = \{w_1 w_2 + \varepsilon = 0\} \cap L_j \neq \emptyset;$$

hence  $T$  cuts  $D_{x_j}$ . Consequently  $T \cap M_j = \{w_1 w_2 + \varepsilon = 0\} \cap M_j \neq \emptyset$ , and, by  $(*_3)$ ,  $T$  meets  $D_{z_1}$ .

All these possibilities lead us to  $T \cap D_{z_1} \neq \emptyset$ . Since  $Z_{G_\varepsilon} \cap D_{z_1} = \{w_1 w_2 + \varepsilon = 0\} \cap D_{z_1}$  is irreducible and  $G_\varepsilon = (w_1 w_2 + \varepsilon) F_b$  has multiplicity 1,  $Z_{G_\varepsilon}$  possess at most one irreducible component  $T$  meeting  $K_\nu$  on which  $G_\varepsilon$  with necessity has multiplicity 1. By definition  $G_\varepsilon \in \mathcal{E}_\nu$ .

On the other hand write  $G_\varepsilon = \sum_{j=1}^k f_j g_{j,\varepsilon}$  with

$$g_{j,\varepsilon} = \frac{1}{2} [(g_j + a_j) + \lambda(g_j + b_j)] \cdot \prod_{s=1}^k \left( 1 - \frac{\langle \pi, v_s \rangle}{\langle \pi(z_j), v_s \rangle} \right) + \varepsilon(g_j + b_j)$$

and let  $a$  and  $b$  tend to 0,  $\lambda$  to 1,  $\pi(z_s)$  to  $\infty$  and  $\varepsilon$  to 0. It follows that, using  $\|v_s - \pi(z_s)\| \leq 1$ ,  $\{g_{j,\varepsilon}\}$  converges to  $g_j$ , whence the density of  $\mathcal{E}_\nu$  in  $\mathcal{O}(X)^k$ . So the proof of Step III.

Consequently by the theorem of Baire  $\cap \mathcal{E}_\nu$  is dense and  $G_\delta$  in  $\mathcal{O}(X)^k$ , whence the theorem.

## 4 An application

In this section we deal with a refinement of the usual notion of a holomorphic hull of a compact set in a Stein space, in which the consideration of holomorphic functions is replaced by that of holomorphic maps to  $\mathbf{C}^q$ ,  $q \geq 1$ .

We recall that the holomorphic hull of a compact set  $K$  of a Stein space  $X$  equals the intersection of all sets  $f^{-1}(\widehat{f(K)})$  as  $f$  ranges through the holomorphic functions on  $X$  and  $\widehat{f(K)}$  is the polynomial hull in  $\mathbf{C}$  of  $f(K)$ , that is obtained by adding to  $f(K)$  the relatively compact connected components of  $\mathbf{C} \setminus f(K)$ , i.e. roughly speaking by filling the holes of  $f(K)$ .

This fact opens the way to define the holomorphic  $q$ -hull of compact sets in Stein spaces. But first, for the sake of simplicity, let us denote for an open subset  $D$  of a complex space  $S$  by  $\theta(D)$  the union of those irreducible components of  $D$  (N. B. not the open ones !) that are relatively compact in  $S$ . We shall use this notation for open sets of the form  $D = S \setminus L$  for some compact set  $L$  of  $S$ .

Let  $X$  be a Stein space,  $K \subset X$  a compact set and  $q \geq 1$  an integer. We define following Lupacciolu [4] the  **$q$ -holomorphic hull** of  $K$  by

$$(*) \quad \widehat{K}_X^{(q)} = \bigcap_{F \in \mathcal{O}^q(X)} F^{-1}[F(K) \cup \theta(\mathbf{C}^q - F(K))].$$

We list some simple properties. Suppose  $n = \dim(X)$ . Then

$$(11) \quad \widehat{K}_X^{(q)} = K \text{ if } q \geq n + 1.$$

$$(12) \quad \widehat{K}^{(n)} \subseteq \widehat{K}^{(n-1)} \subseteq \dots \subseteq \widehat{K}^{(1)} = \widehat{K}.$$

$$(13) \quad \text{If } f \in \mathcal{O}^p(X), p < q, \text{ then } \widehat{K}^{(q)} \cap Z_f \subseteq K \widehat{\cap} Z_f^{(q-p)} \text{ where } Z_f = \{x \in X; f(x) = 0\}.$$

$$(14) \quad \text{If } \pi : Y \longrightarrow X \text{ is a finite surjective morphism, then } \pi^{-1}(\widehat{K})_Y^{(q)} \subseteq \pi^{-1}(\widehat{K}_X^{(q)}).$$

From [4] we mention that in definition (\*) one can replace  $\mathcal{O}(X)^q$  by any other dense subset. For instance if  $V$  is a Runge domain of  $X$  that contains  $K$  then  $\widehat{K}_X^{(q)} = \widehat{K}_V^{(q)}$ ,  $\forall q \geq 1$ .

Here we give the main result of this section.

**Theorem 3** *Let  $X$  be a purely dimensional Stein space and  $K \subset X$  a compact set. Suppose  $n = \dim(X)$ . Then*

$$\widehat{K}_X^{(n)} = K \cup \theta(X \setminus K).$$

**Proof.** Let  $K_1 := K \cup \theta(X \setminus K)$ . First we show that  $K_1 \subseteq \widehat{K}^{(n)}$ . In order to do this let  $\pi : X^* \rightarrow X$  be the normalization map. Put  $K^* = \pi^{-1}(K)$ . Then  $\widehat{K}^{(n)} \subseteq \pi^{-1}(\widehat{K}^{(n)})$  and  $\pi(K^* \cup \nu(X^* \setminus K^*)) = K_1$ . Consequently one has to check the said inclusion in case  $X$  is normal. Assume this and let  $x_o \in X \setminus \widehat{K}^{(n)}$ . Then there is a holomorphic map  $F : X \rightarrow \mathbf{C}^n$  such that  $F(x_o)$  lies in the unbounded component of  $\mathbf{C}^n \setminus F(K)$ . It is a classical fact that there is a  $n$ -convex function  $\varphi : \mathbf{C}^n \rightarrow \mathbf{R}$  with  $\varphi(F(x_o)) > \|\varphi\|_{F(K)}$ .

Now let  $\lambda : X \rightarrow \mathbf{R}$  any smooth strongly plurisubharmonic function (i.e. 1-convex after the terminology of Andreotti and Grauert). Set for any  $\varepsilon > 0$ ,  $\psi_\varepsilon : X \rightarrow \mathbf{R}$  by  $\psi_\varepsilon = \varepsilon\lambda + \varphi \circ F$ . Then  $\psi_\varepsilon$  is  $n$ -convex and if  $\varepsilon$  is small enough  $\psi_\varepsilon(x_o) > \|\psi_\varepsilon\|_K$ . Since  $\psi_\varepsilon$  fulfils the maximum principle,  $\|\psi_\varepsilon\|_K = \|\psi_\varepsilon\|_{K_1}$ ; thus  $x_o \notin K_1$ , whence the desired inclusion. Note that the open sets appearing in  $\theta(X \setminus K)$  have the boundary in  $K$  as soon as  $X$  has no isolated points.

Further we prove the reverse inclusion by induction on  $n$ . The case  $n = 1$  is treated by Mihalache [5]. So assume that  $n > 1$  and the theorem holds for all Stein spaces of pure dimension between 1 and  $n - 1$ .

Let  $a \in X \setminus K_1$ ,  $Y$  an irreducible component of  $X \setminus K$  that contains  $a$  (Note that  $Y$  is not relatively compact in  $X$ ) and  $X_1$  the correspondent irreducible component of  $X$  that contains  $Y$ . Choose  $b \in Y \setminus \widehat{K}$  and consider a piecewise  $C^1$  curve  $\gamma : [0, 1] \rightarrow Y$  that joints  $a$  with  $b$  and  $\gamma((0, 1)) \subset \text{Reg}(X)$ . By a theorem of Stolzenberg [7],  $L = \gamma([0, 1])$  is holomorphically convex in  $X$ . Consider  $\Omega$  a tubular open neighborhood of  $L$  that is Runge in  $X$ , relatively compact,  $\overline{\Omega} \cap K = \emptyset$  and such that  $\Omega \cap X_1$  is irreducible. By applying Theorem 2 and Proposition 1 there is a holomorphic map  $f_1 \in \mathcal{O}(X_1)$  such that  $Z_{f_1} = \{x \in X_1; f_1(x) = 0\}$  is an irreducible hypersurface,  $a, b \in Z_{f_1}$  and (after shrinking  $\Omega$ , if necessary)  $Z_{f_1} \cap \Omega$  is irreducible. Choose  $f \in \mathcal{O}(X)$  that extends  $f_1$  such that  $Z_f = \{x \in X; f(x) = 0\}$  is purely  $n - 1$  dimensional. Let  $V$  be a relatively compact Runge neighborhood of  $K$  that does not contain  $b$ . Without any loss of generality we may suppose that  $a \in V$ .

Now set  $X' = V \cap Z_f$ ,  $K' = K \cap Z_f$ . Further take  $\eta : [0, 1] \rightarrow Z_f$  a  $C^1$  piecewise curve that connects  $a$  with  $b$  such that  $\eta((0, 1)) \subset \text{Reg}(Z_f) \cap \Omega$ . Let  $t^* = \sup\{t \in [0, 1]; \eta(s) \in X' \text{ for all } s, 0 \leq s \leq t\}$ . Obviously  $0 < t^* < 1$ . Let  $S'$  be the irreducible component of  $X' \setminus K'$  that contains  $\eta((0, t^*))$ . Hence  $a \in S'$  and  $S'$  is not relatively compact in  $X'$ ; thus, by the induction hypothesis  $a \notin \widehat{K}'_{X'} = K' \cap \widehat{Z}_f^{(n-1)}$ . Since  $a \in Z_f$ , by (13),  $a \notin \widehat{K}^{(n)}$ . This completes the proof of the theorem.

**Remark** We cannot replace "irreducible component" in the above theorem with "open component", even in the simplest case  $n = 1$ . Set  $X = \mathbf{C} \times \{0\} \cup \{0\} \times \mathbf{C}$  and  $K =$

$S^1 \times \{0\}$ . Then  $\widehat{K} = \Delta \times \{0\}$  where  $\Delta$  is the closed unit disc in  $\mathbf{C}$ . However  $X \setminus K$  is connected, and, of course, not relatively compact in  $X$ . An example with  $X$  irreducible is given in [5].

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